

Photo by George Burgess

## Blacknose shark assessment Gulf of Mexico and south Atlantic stocks

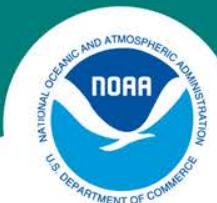
Kate Andrews, Beaufort Laboratory  
Enric Cortés, Panama City Laboratory  
SEDAR 21, Review Workshop, April 18-22, 2011

**NOAA  
FISHERIES  
SERVICE**



## Outline - GOM

1. Data Inputs
  - a. Fisheries
  - b. Indices
  - c. Life History
2. Model Description
3. Remaining AW issues
4. Base Model and Results
  - a. Rebuilding Analysis
5. Sensitivity Cases
6. Summary of all Results



## Fishery Inputs - GOM

### Catch Series:

#### —Commercial

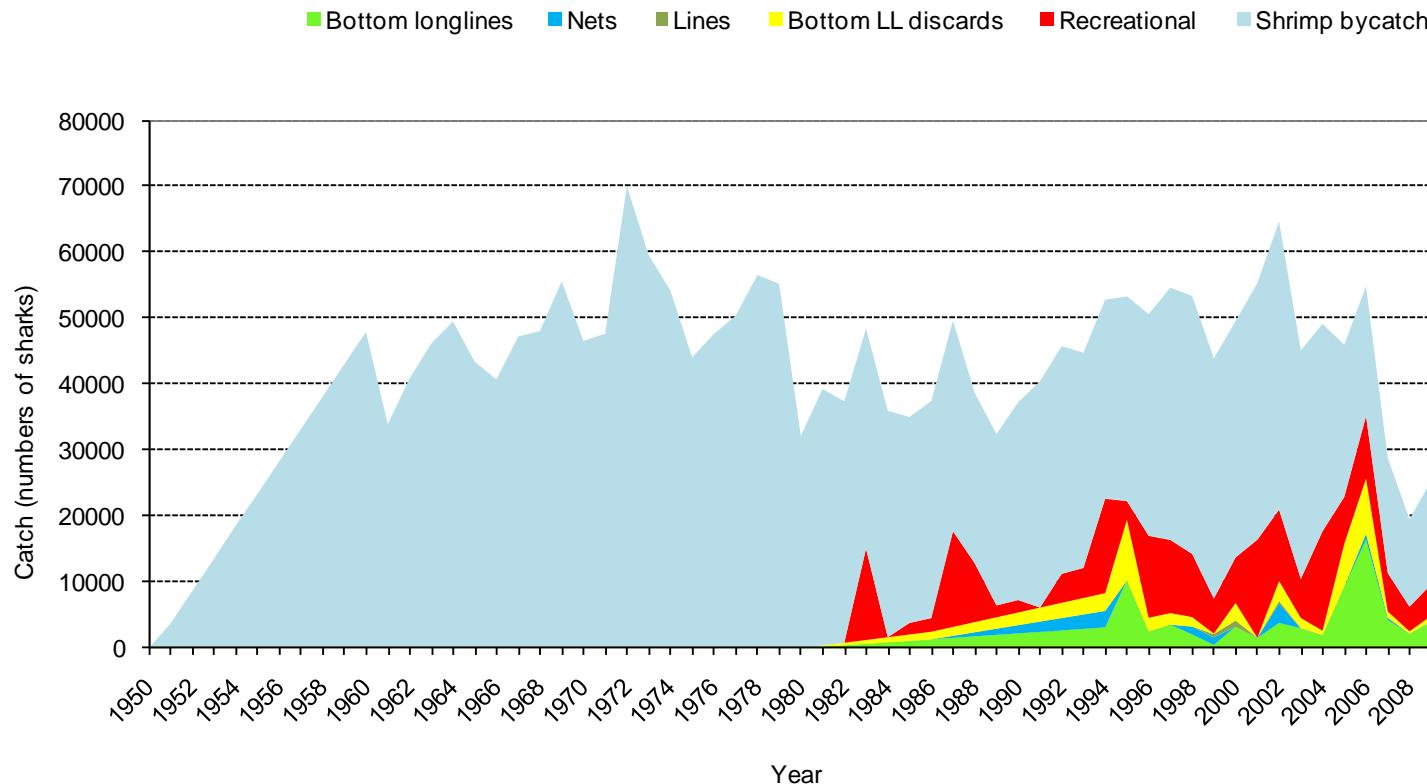
- Longline
- Gillnet
- Handline
- BLL-discards

#### —Recreational

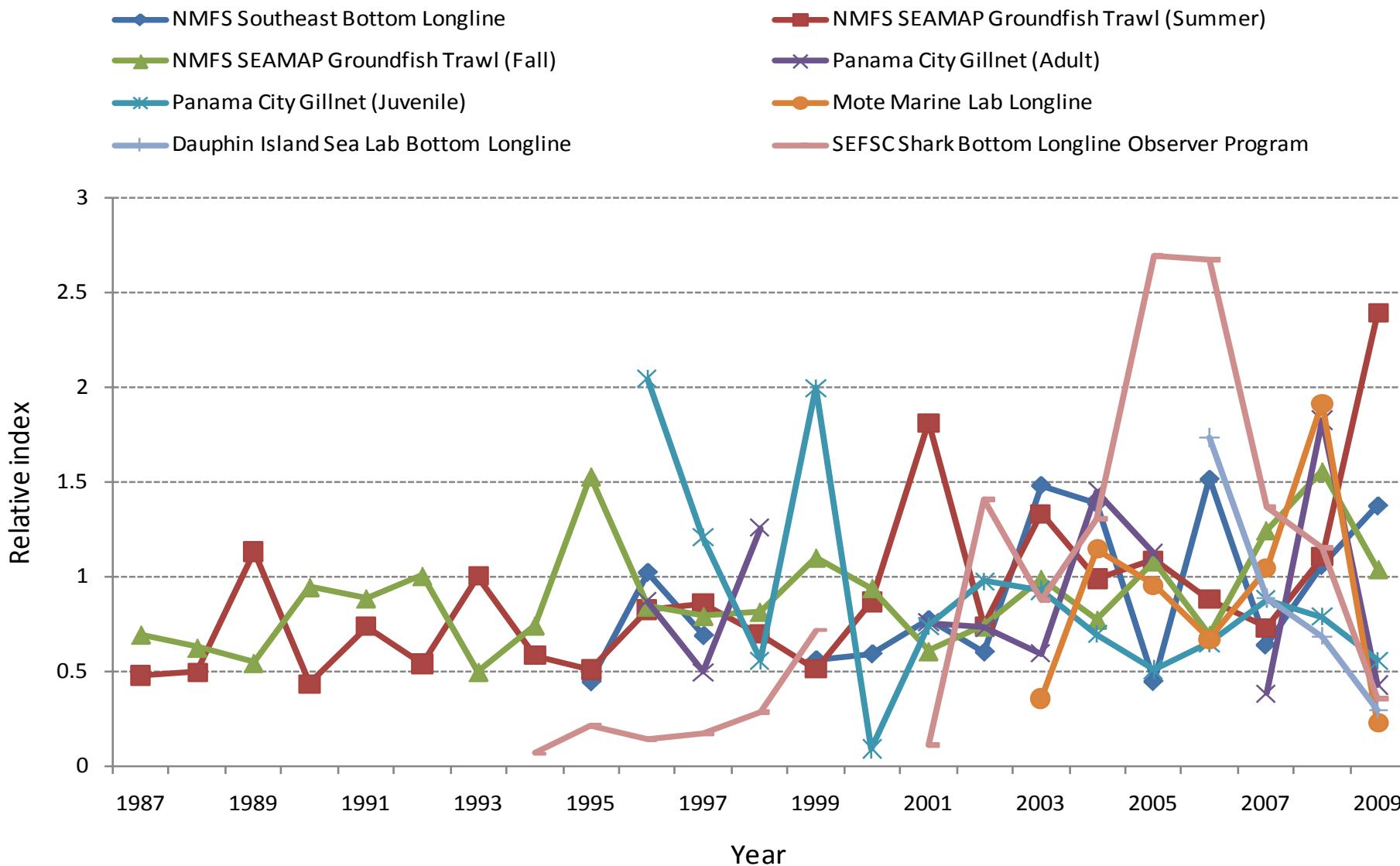
#### —Shrimp Bycatch

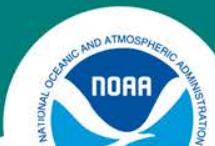


## Catches - GOM



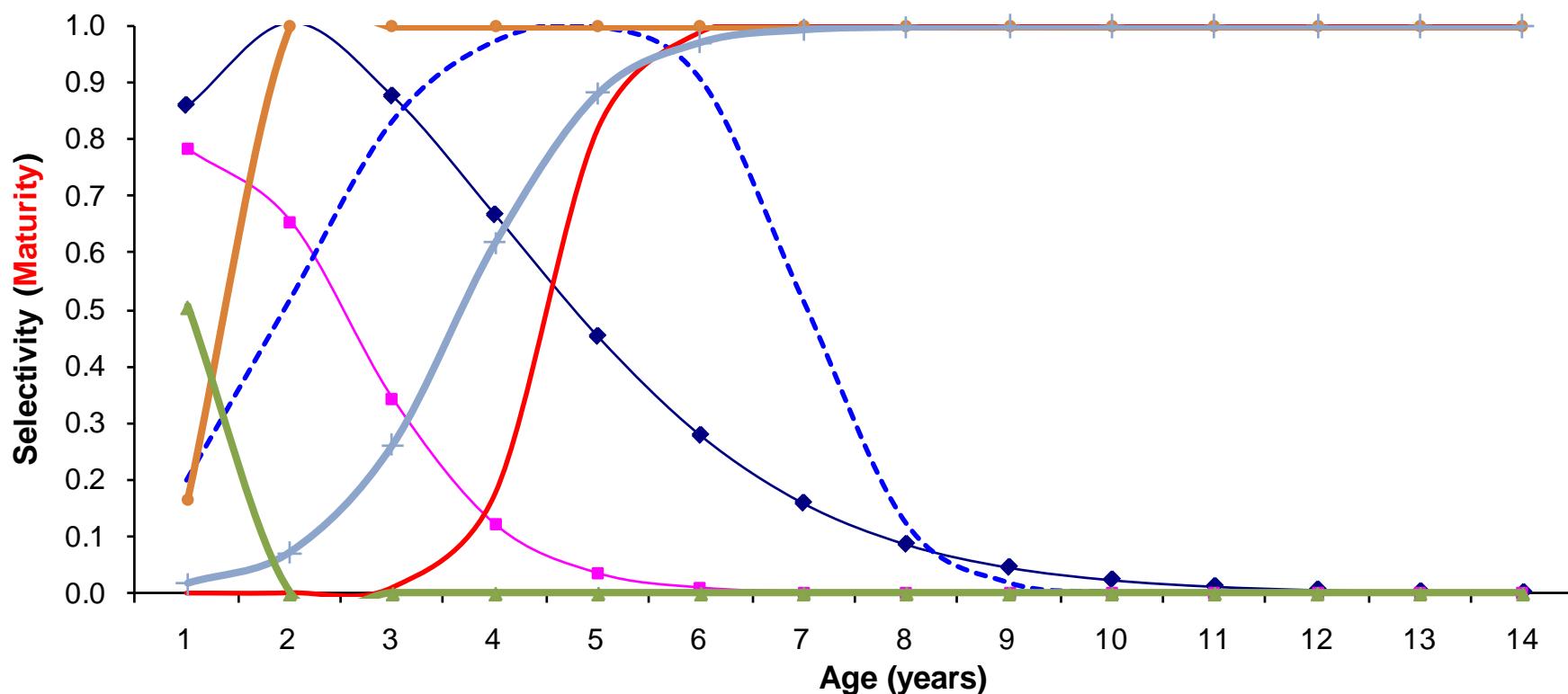
## Base indices (GOM)





## Selectivity and maturity (GOM)

- ◆ Com-GN
- SEAMAP Sum, Fall
- - MML LL
- Maturity
- BLLOP, NMFS SE LL, PCGN AD, JUV, Com-BLL, Com-BLL Disc, Com-L, Rec
- DISL
- ▲ Shrimp bycatch





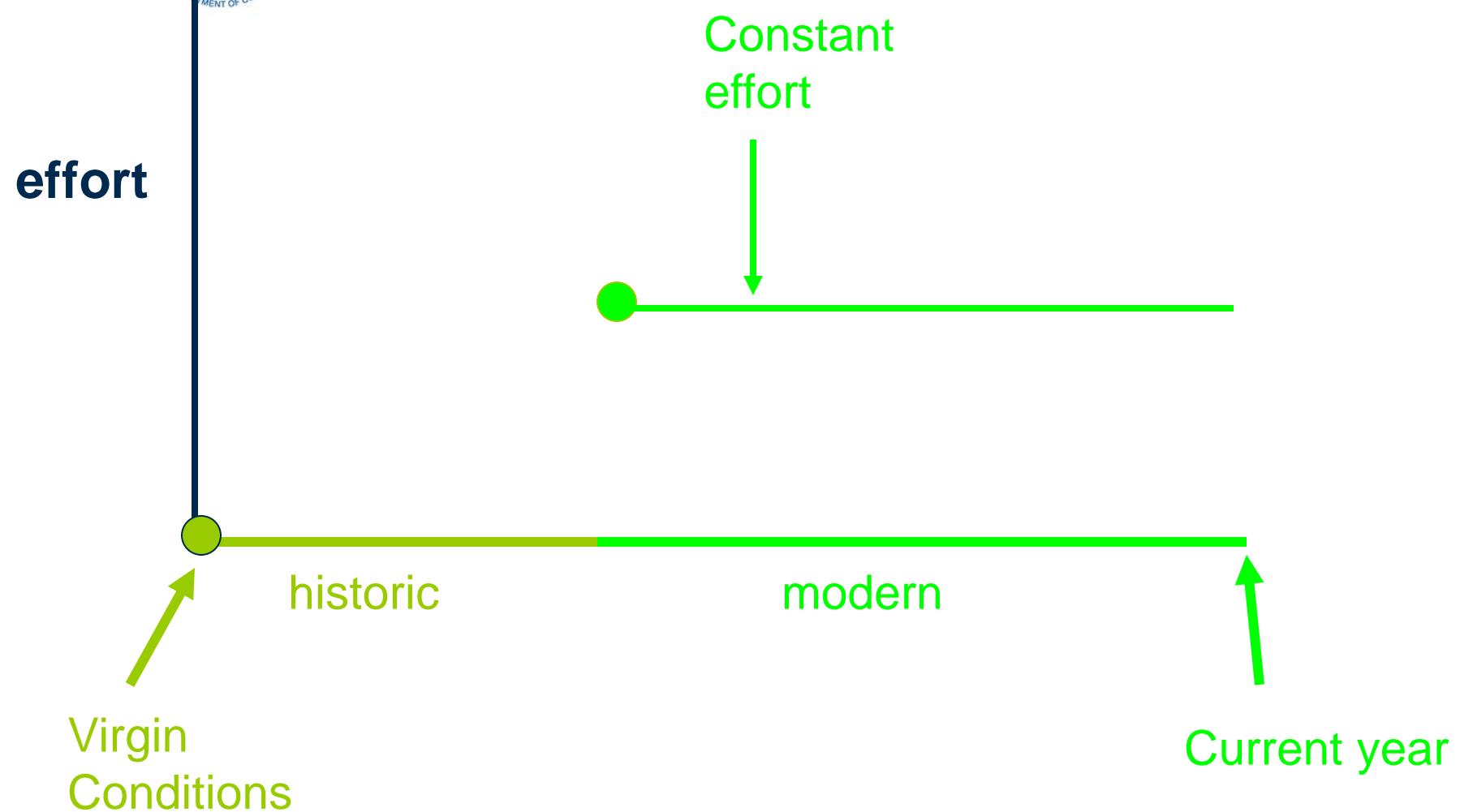
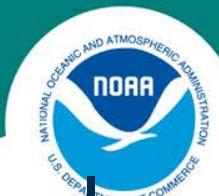
## Life History Inputs (GOM)

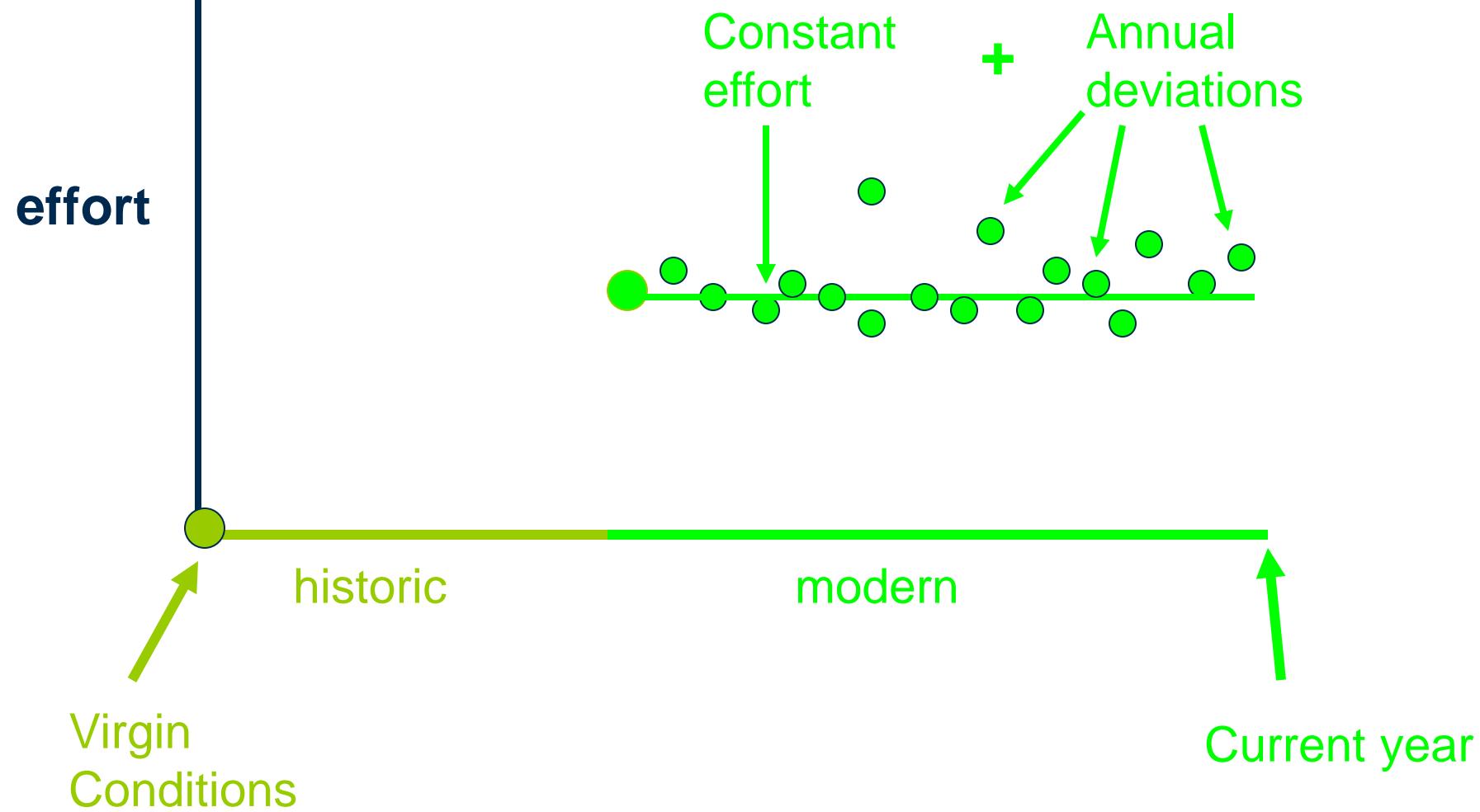
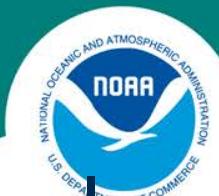
$L_\infty$	104.3 (cm FL)
$k$	0.3
$t_0$	-1.71
a	1.65E-6
b	3.34
Pup Survival	0.75
Virgin Recruitment ( $R_0$ )	[1.0E+4, 1.0E+10]
fecundity	5* (interesting story)

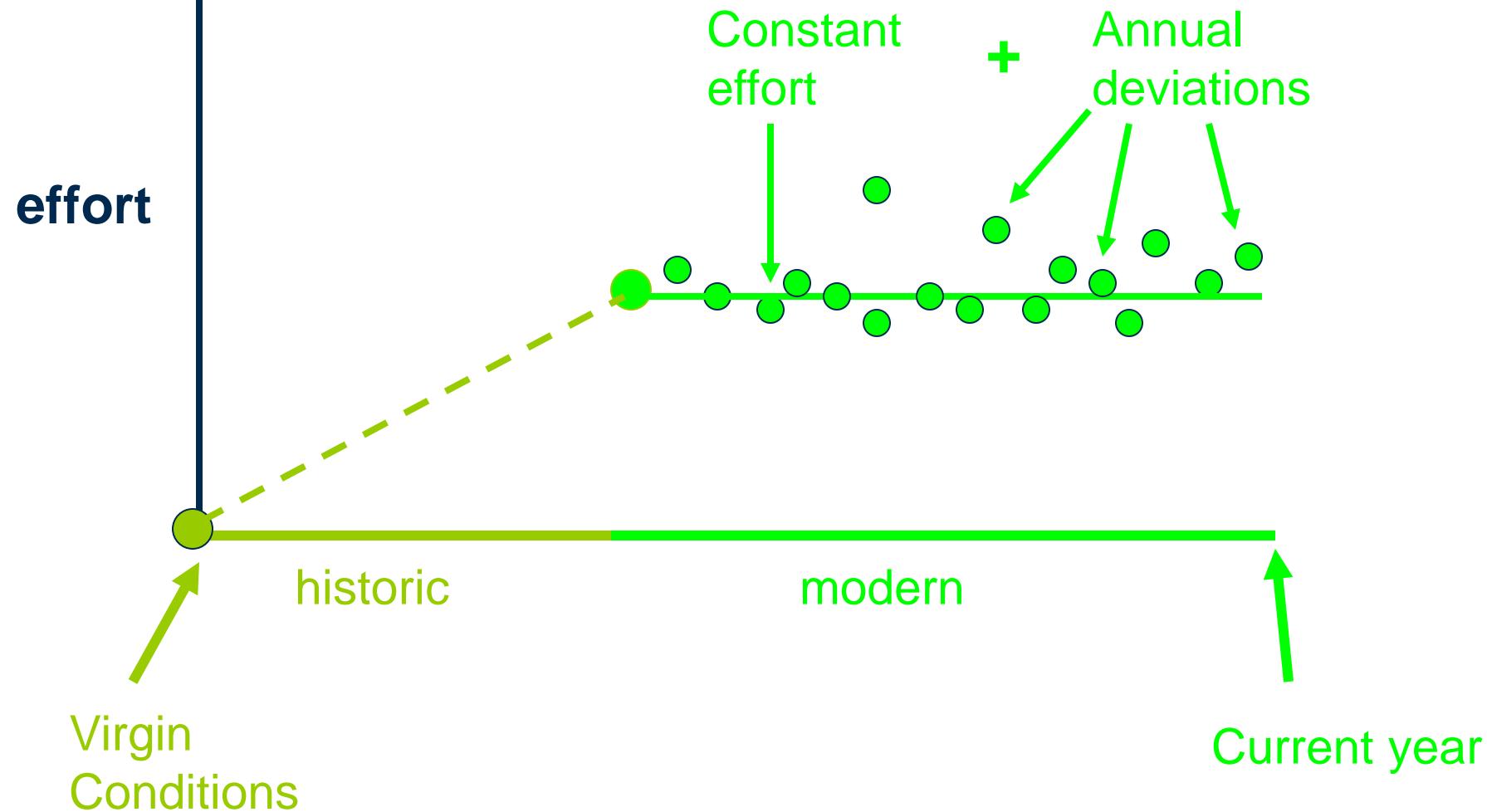
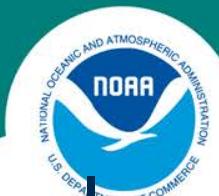


## Model Description – The ASPM

- Time series split into historic (1950 – 1971) and modern period
- Historic period begins in year when virgin conditions can be assumed; spans years where data are very sparse
- Modern period spans recent years, when data are presumed to be more abundant





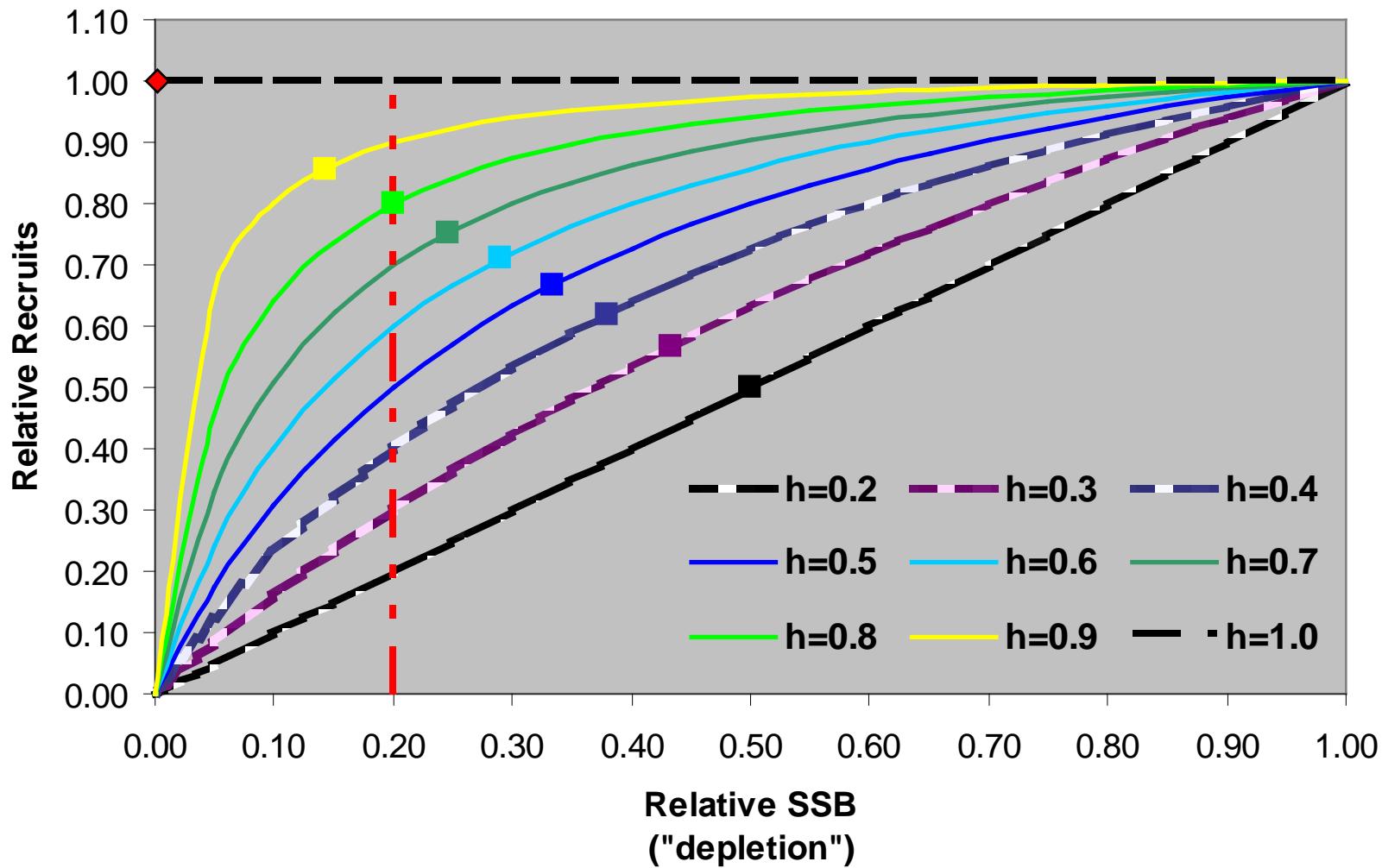




## Model description – The ASPM

- Age-structured approach to allow the selectivity and fecundity to vary with age
- The state-space implementation facilitates parameter estimation by
  - Allowing for Bayesian priors
  - Allowing for interannual changes in parameters such as effort
- Monthly intervals for the abundance calculations
- Beverton-Holt stock recruitment

## Beverton-Holt



\*slope at the origin is equivalent to the density independent pup survival



## Steepness and maximum reproductive rate

- $\alpha = \text{pup.survival} \text{ (slope at the origin)} \times \text{virgin.spawners.per.recruit}$
- Steepness =  $\alpha / (\alpha + 4)$



## Model description cont'd.

- Catches are removed sequentially as a pulse at the end of each monthly time step
  - Exploratory sensitivities showed the order of removal had little effect.
  - Fleets fish simultaneously
- Selectivities are age-based and calculated external to the model
  - The lack of data prohibits internal calculations
  - Data/estimated parameters is already very low



## Model description cont'd

- Flat priors provided for virgin recruitment, catchabilities, and effort by fleet
- Objective function components
  - Observed data likelihoods
    - Catches
    - Indices
  - Process errors
  - Priors
- Likelihood Profiles used to approximate posteriors (recommended by AW panel)



## Assessment Workshop issues remaining

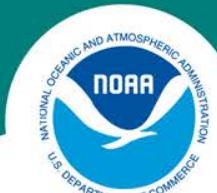
### Shrimp bycatch series –

- Treated as one catch series rather than two
  - They should have the same effort but different catchabilities and selectivities
    - No length data to empirically determine selectivities
    - Model fits them very poorly as scaled versions of the other (SA is just scaled down from the poor fit to the GOM)
- Projections
  - Levels of variance
  - Which scenarios to use as bookends to the base case?



## Base case settings

- Virgin conditions in 1950.
- Modern period begins in 1972.
- Historical catches are downweighted by 1/2

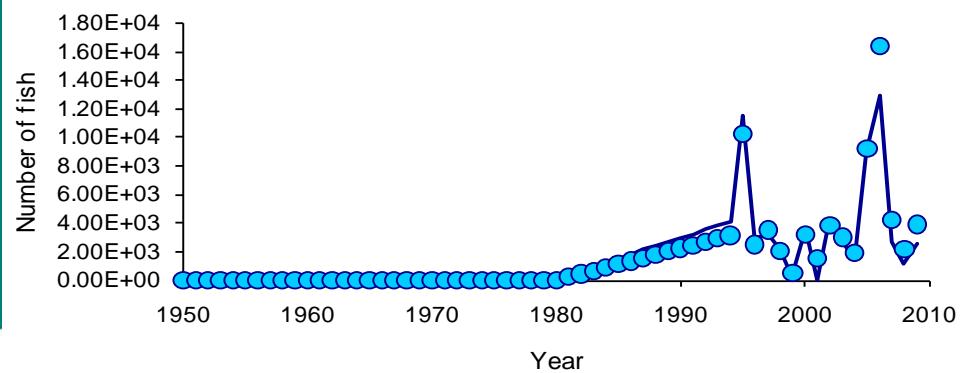


**Overfished**  
**Overfishing**

Blacknose - GOM	BASE		Continuity	
	Estimate	CV	Estimate	CV
$\text{SSF}_{2009}/\text{SSF}_{\text{MSY}}$	<b>0.53</b>	0.46	<b>0.56</b>	0.75
$F_{2009}/F_{\text{MSY}}$	<b>1.21</b>	0.54	<b>2.78</b>	1.57
$N_{2009}/N_{\text{MSY}}$	0.61	-	0.57	-
MSY	51443	-	93398	-
$\text{SPR}_{\text{MSY}}$	0.55	0.21	0.69	0.15
$F_{\text{MSY}}$	0.232	-	0.07	-
$\text{SSF}_{\text{MSY}}$	273810	-	356130	-
$N_{\text{MSY}}$	319006	-	590691	-
$F_{2009}$	0.28	0.54	0.21	1.57
$\text{SSF}_{2009}$	146230	0.19	198110	0.67
$N_{2009}$	232839	-	437078	-
$\text{SSF}_{2009}/\text{SSF}_0$	0.19	0.18	0.23	0.55
$B_{2009}/B_0$	0.21	0.17	0.21	0.60
$R_0$	159440	0.05	332180	0.19
Pup-survival	0.75	-	0.82	0.23
alpha	3.51	-	2.13	-
steepness	0.47	-	0.35	-

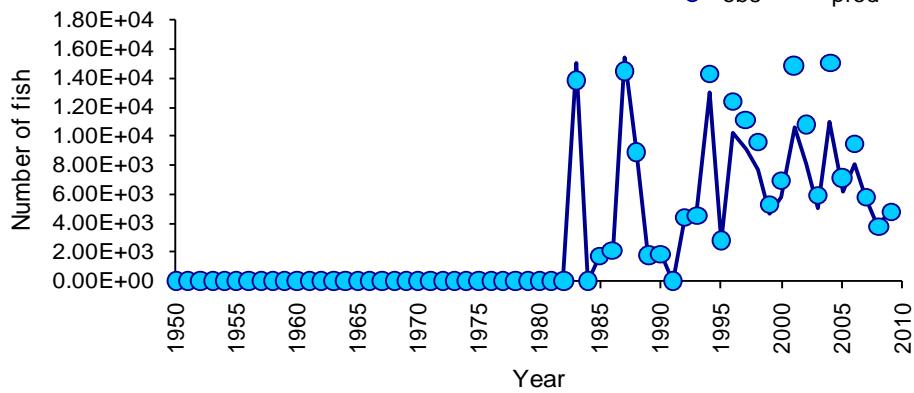
Commercial longlines

● obs — pred



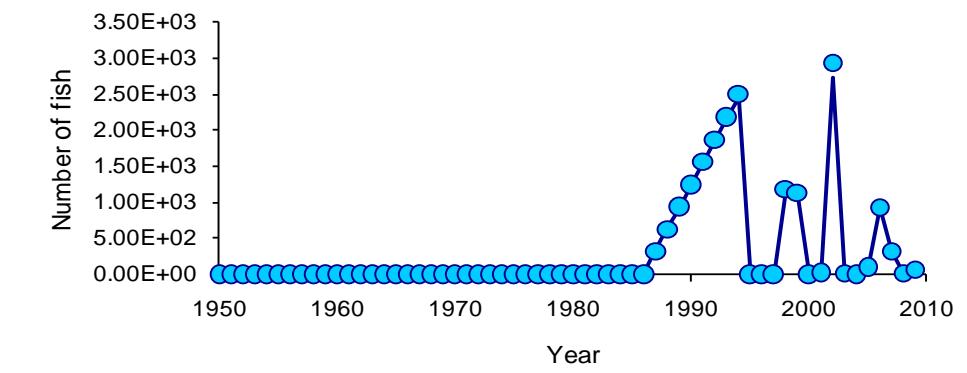
Recreational

● obs — pred



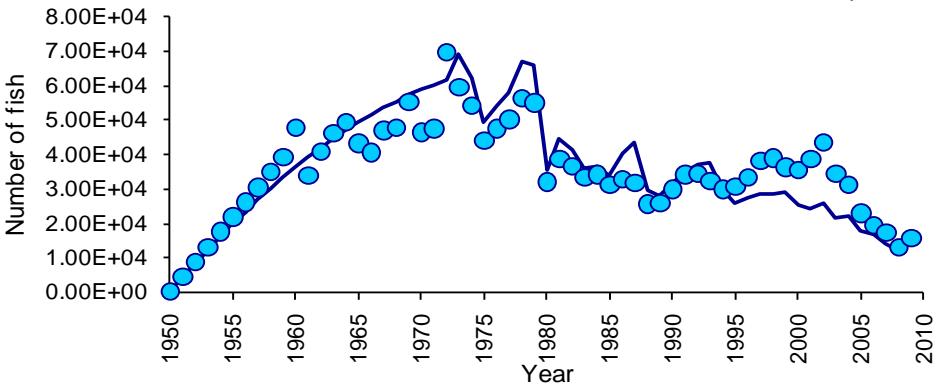
Commercial nets

● obs — pred



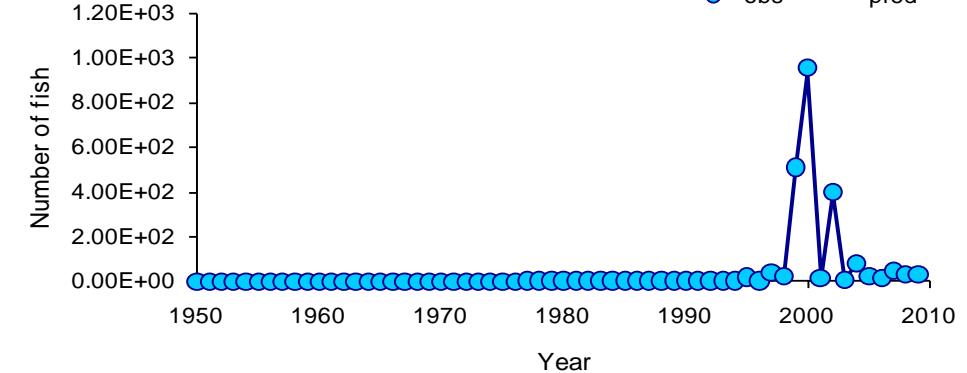
Shrimp (with TEDs)

● obs — pred



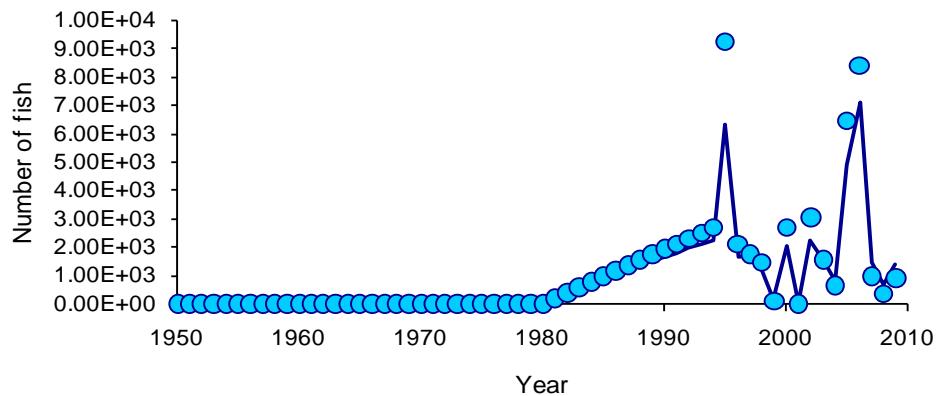
Commercial lines

● obs — pred



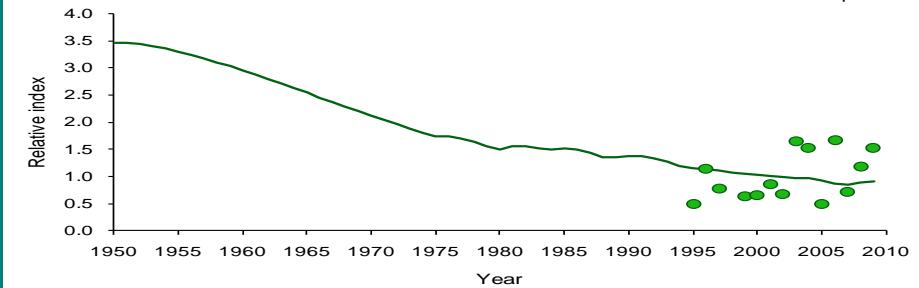
BLL discards

● obs — pred



NMFS SE LL Index

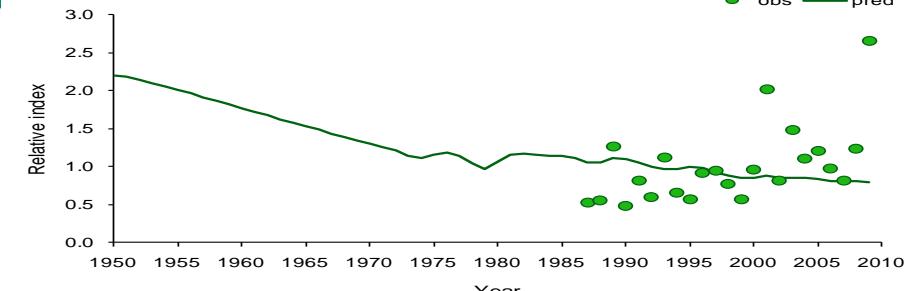
● obs    ● pred



AT    NL

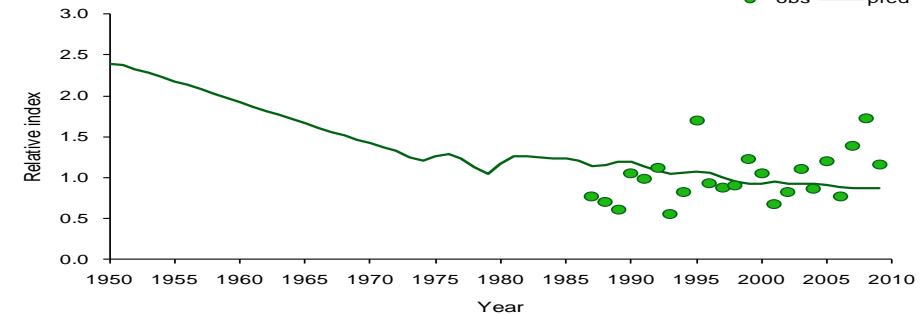
SEAMAP summer Index

● obs    ● pred



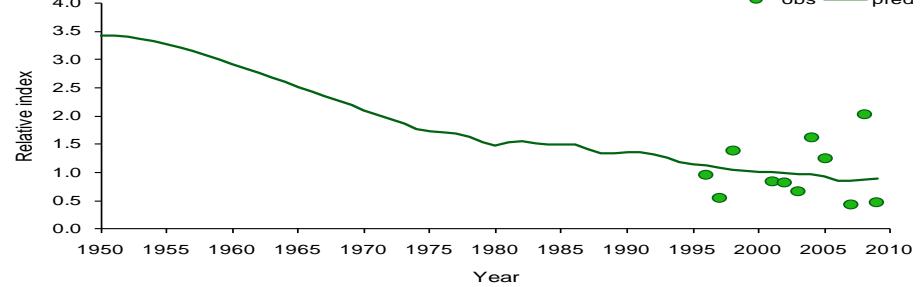
SEAMAP fall Index

● obs    ● pred



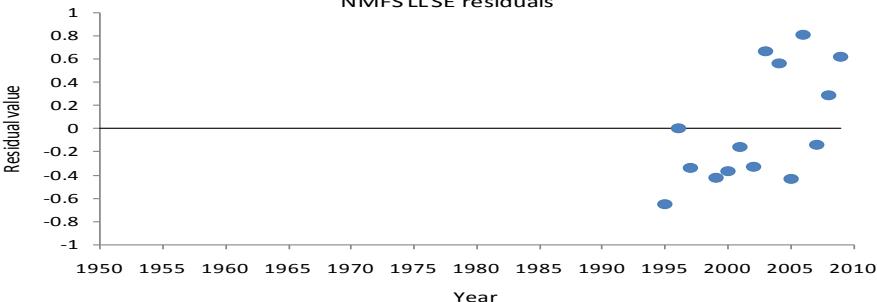
PC Gillnet Adult Index

● obs    ● pred



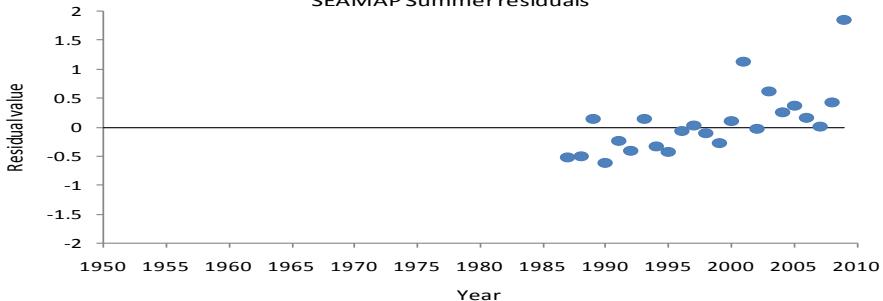
NMFS LLSE residuals

Residual value



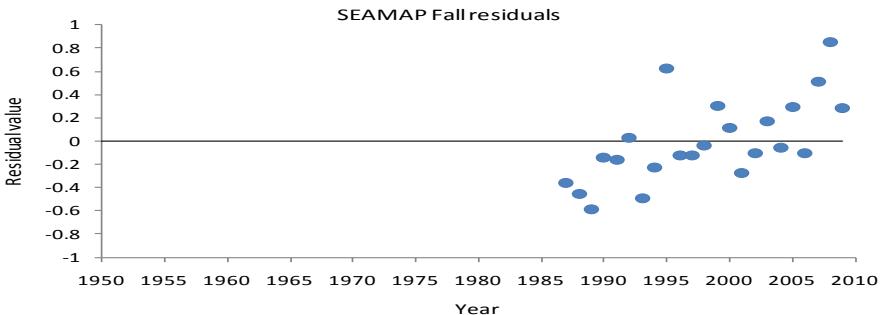
SEAMAP Summer residuals

Residual value



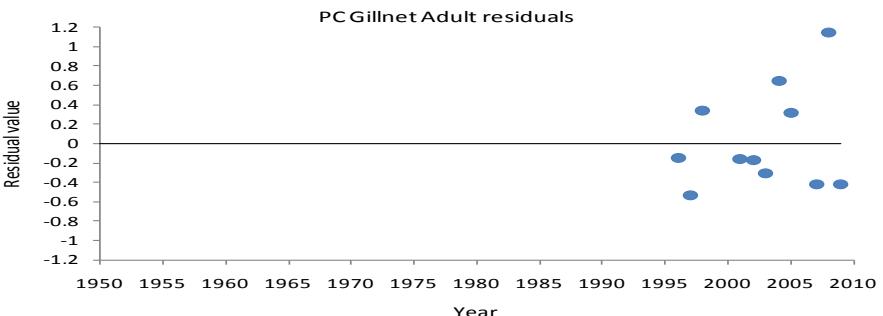
SEAMAP Fall residuals

Residual value

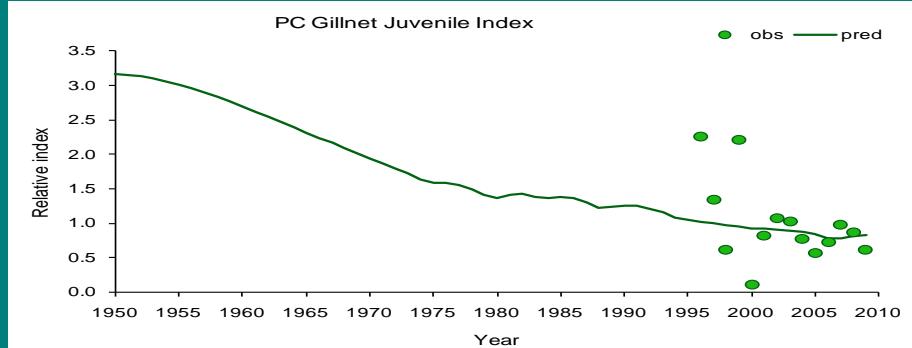


PC Gillnet Adult residuals

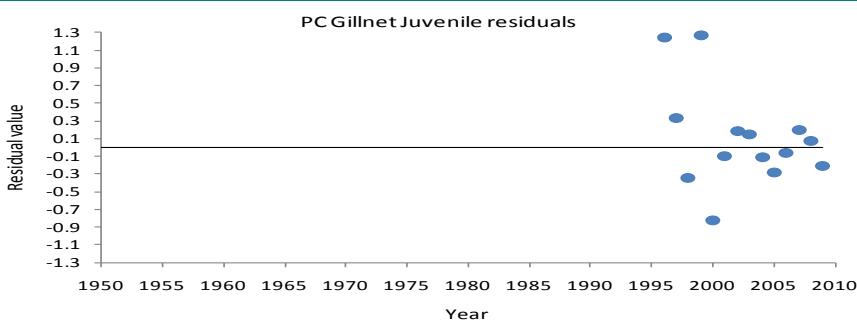
Residual value



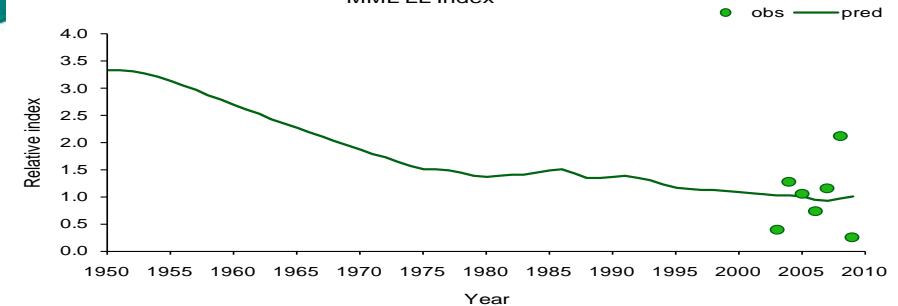
PC Gillnet Juvenile Index



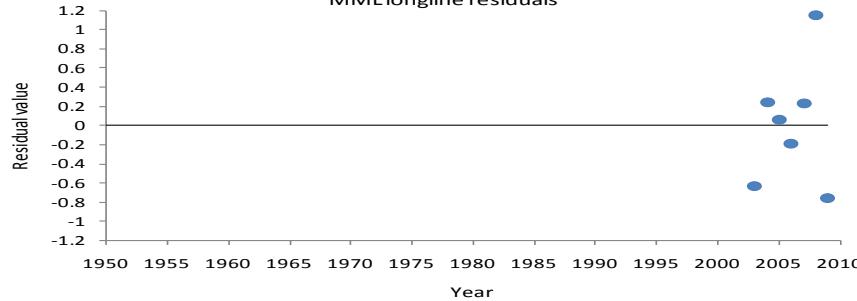
PC Gillnet Juvenile residuals



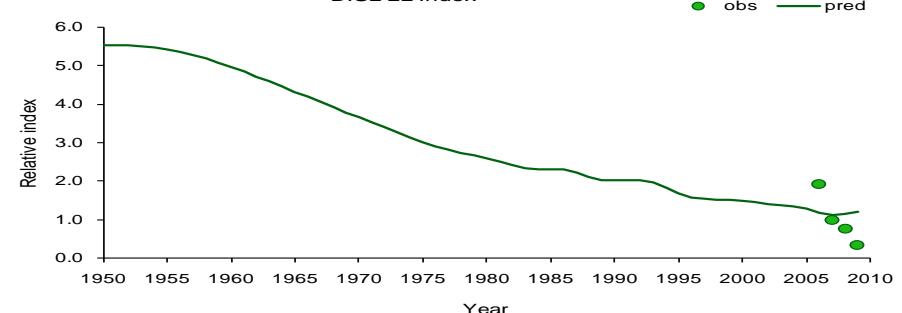
MML LL Index



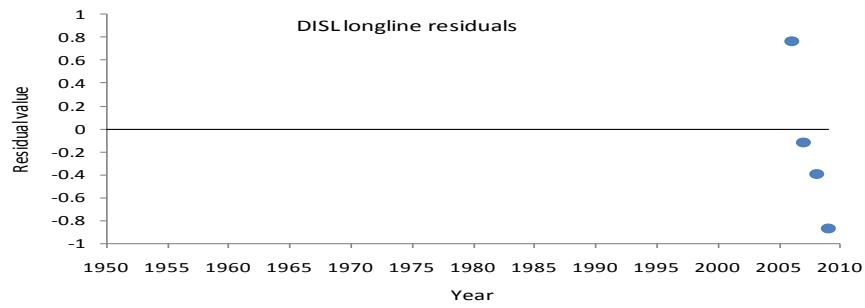
MML longline residuals



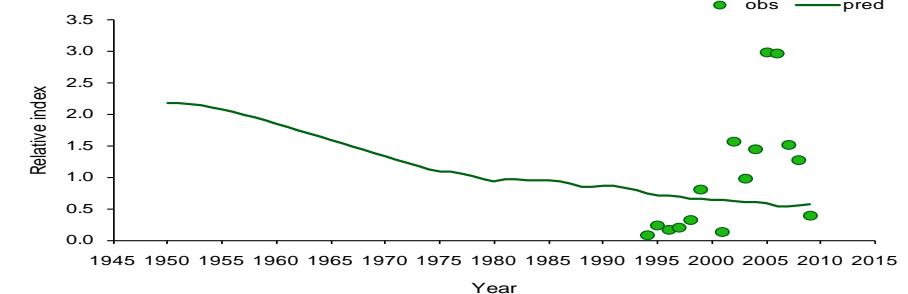
DISL LL Index



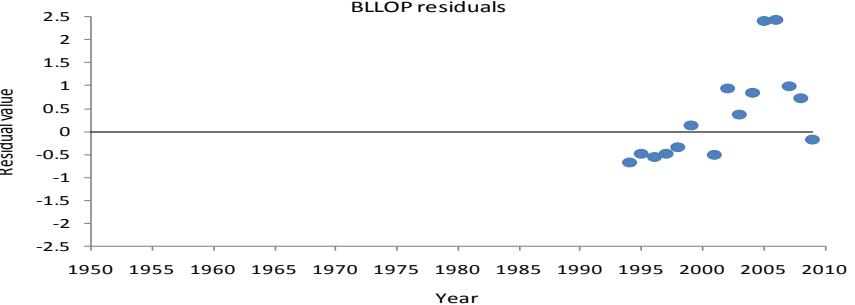
DISL longline residuals

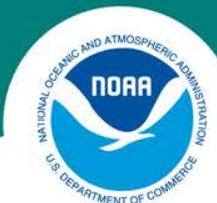


BLLOP Index

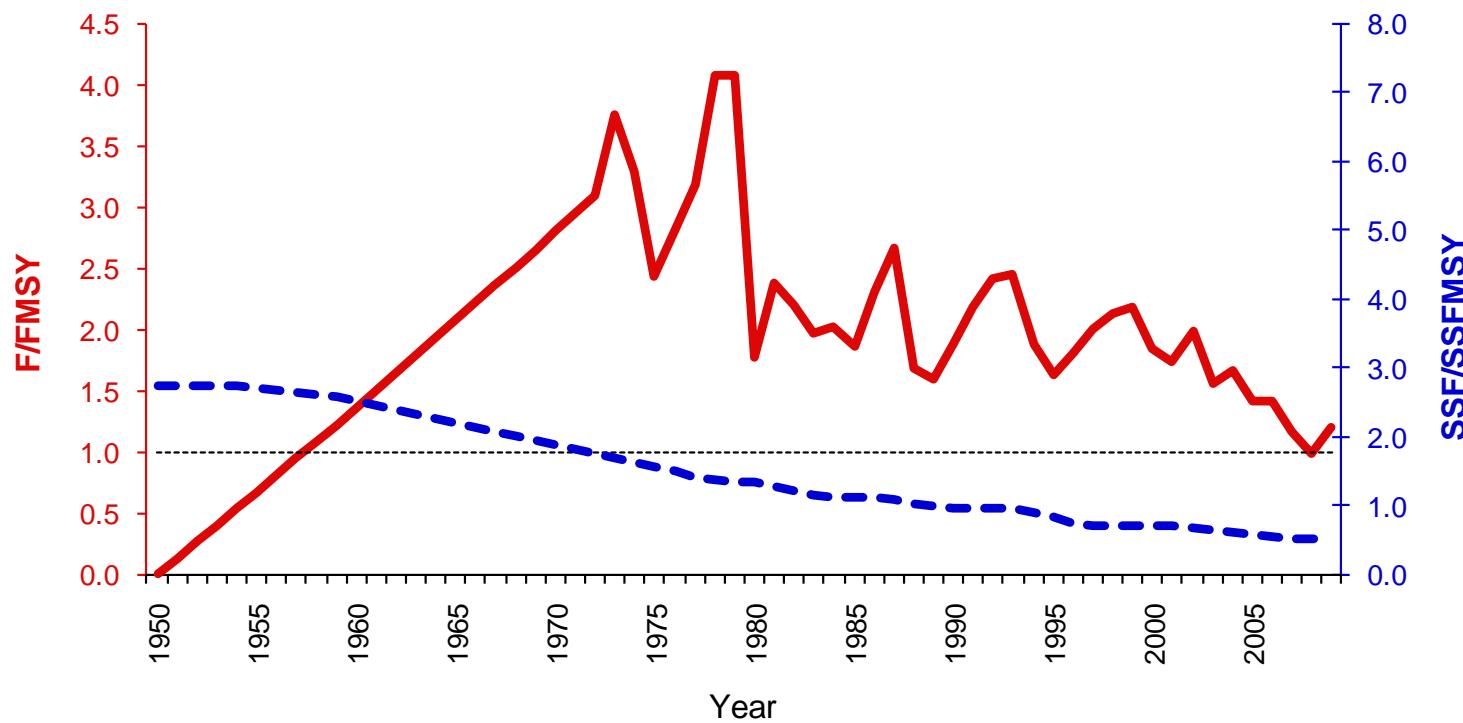


BLLOP residuals



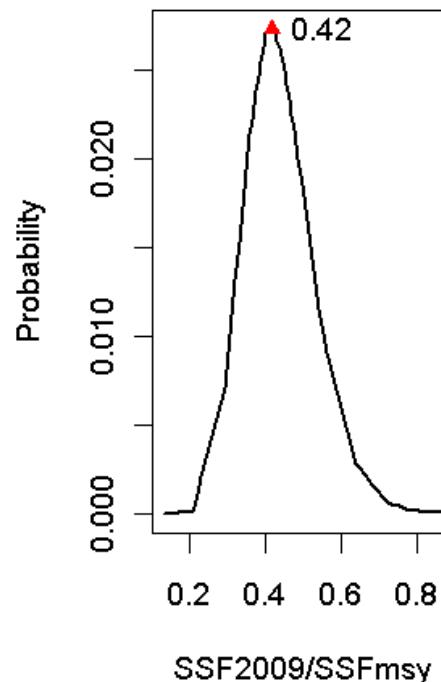
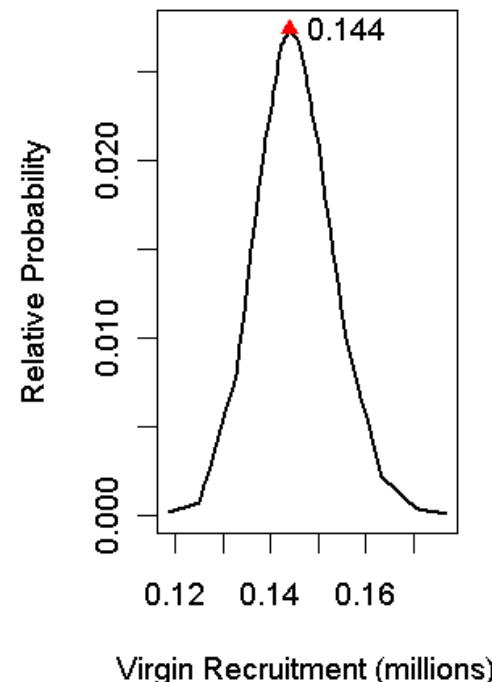
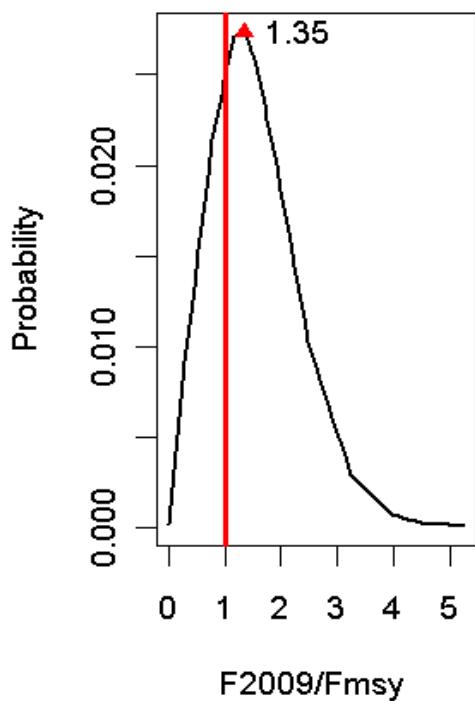


## Base model benchmarks





## Likelihood profiles





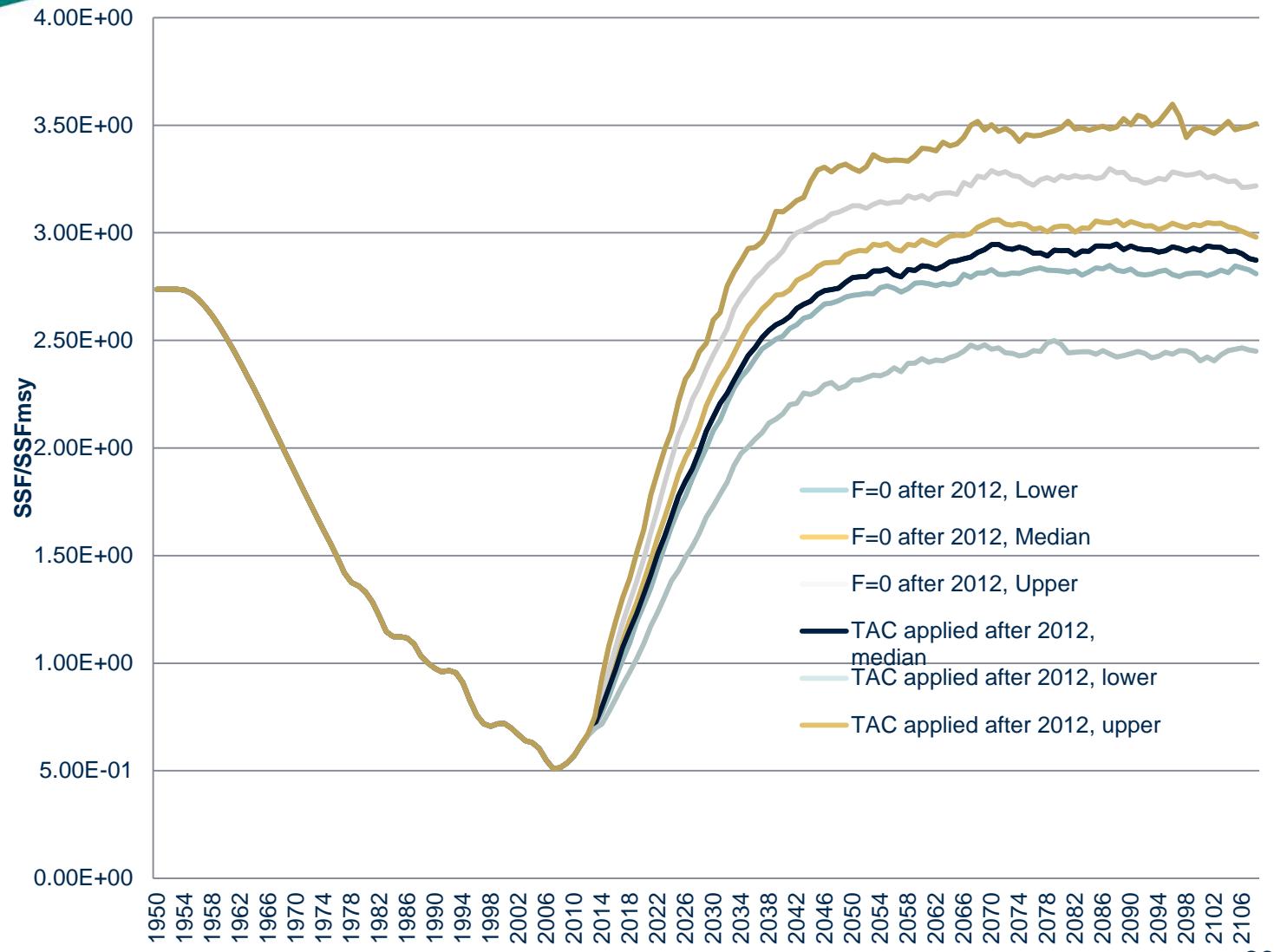
## Projection scenarios

- 19,200 TAC was for a combined stock.
  - We separated the TAC based on the catches in the last three years of the catch series. (51% GOM and 49% SA)
  - Applied the separated TAC in 2010, 2011, and 2012.
- No fishing mortality with interim TAC.
- Interim TAC applied through the whole projections.



## GOM Blacknose Stock Projections

- (1)  $F=0$  by 2017
- (2) TAC applied by 2019





## Sensitivities

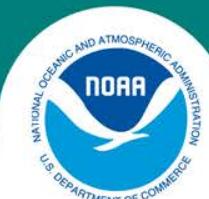
- S1 - Rank-based weightings
- S2 – U-shaped natural mortality
- S3 – FI indices only
- S4 – Hierarchical index only (Did not converge)
- S5 – Low catch (fitting problems)
- S6 – High catch
- S7 – Higher natural mortality



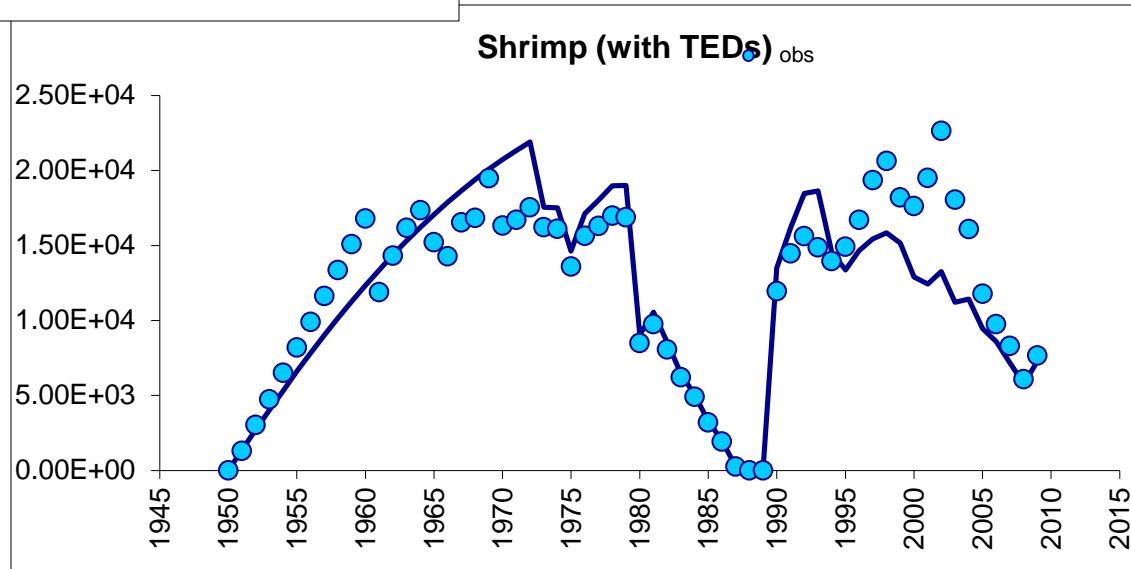
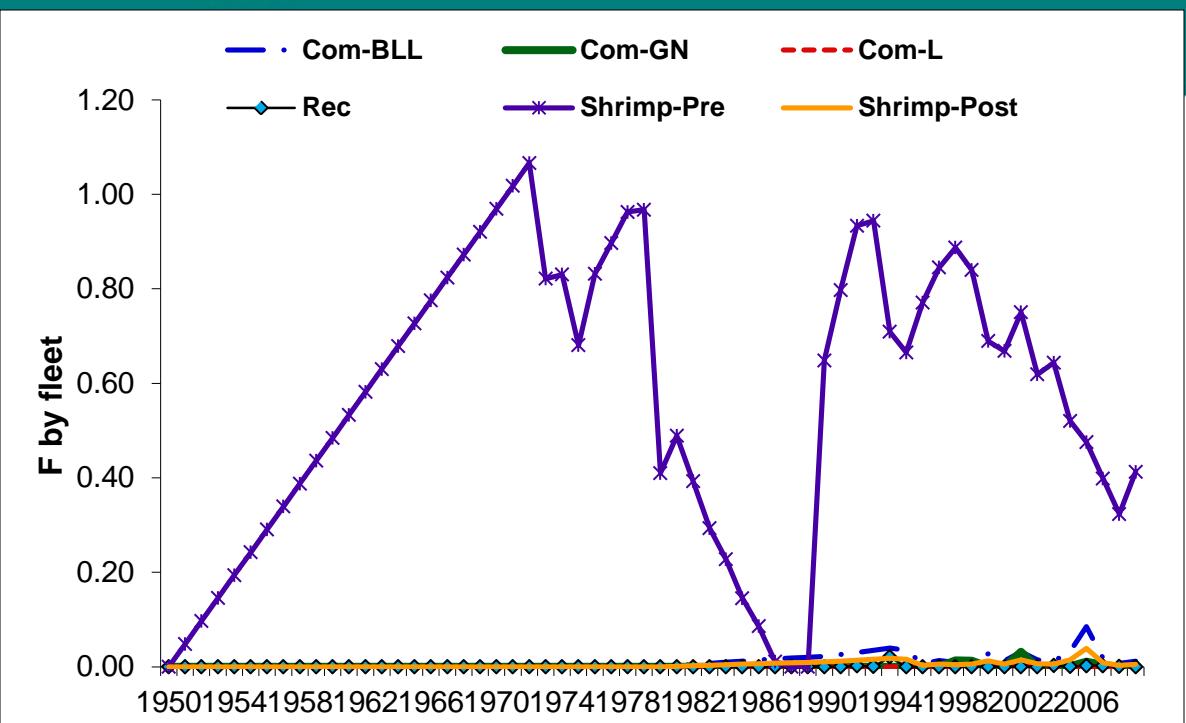
Blacknose - GOM	S1 –Rank based			
	BASE		Estimate	CV
	Estimate	CV	Estimate	CV
$\text{SSF}_{2009}/\text{SSF}_{\text{MSY}}$	<b>0.53</b>	0.46	<b>0.42</b>	0.40
$\text{F}_{2009}/\text{F}_{\text{MSY}}$	<b>1.21</b>	0.54	<b>1.52</b>	0.47
$\text{N}_{2009}/\text{N}_{\text{MSY}}$	0.61	-	0.49	-
MSY	51443	-	50478	-
$\text{SPR}_{\text{MSY}}$	0.55	0.21	0.55	0.17
$\text{F}_{\text{MSY}}$	0.232	-	0.240	-
$\text{SSF}_{\text{MSY}}$	273810	-	267420	-
$\text{N}_{\text{MSY}}$	319006	-	310784	-
$\text{F}_{2009}$	0.28	0.54	0.36	0.47
$\text{SSF}_{2009}$	146230	0.19	112040	0.22
$\text{N}_{2009}$	232839	-	186222	-
$\text{SSF}_{2009}/\text{SSF}_0$	0.19	0.18	0.15	0.20
$\text{B}_{2009}/\text{B}_0$	0.21	0.17	0.16	0.20
$\text{R}_0$	159440	0.05	156070	0.04
Pup-survival	0.75	-	0.75	-
alpha	3.51	-	3.51	-
steepness	0.47	-	0.47	-

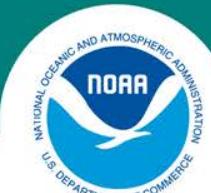


Blacknose - GOM	S2 U-shape			
	BASE		Estimate	CV
	Estimate	CV	Estimate	CV
$\text{SSF}_{2009}/\text{SSF}_{\text{MSY}}$	<b>0.53</b>	0.46	<b>0.53</b>	0.42
$F_{2009}/F_{\text{MSY}}$	<b>1.21</b>	0.54	<b>2.62</b>	0.54
$N_{2009}/N_{\text{MSY}}$	0.61	-	0.46	-
MSY	51443	-	83744	-
$\text{SPR}_{\text{MSY}}$	0.55	0.21	0.81	0.08
$F_{\text{MSY}}$	0.232	-	0.080	-
$\text{SSF}_{\text{MSY}}$	273810	-	530310	-
$N_{\text{MSY}}$	319006	-	615285	-
$F_{2009}$	0.28	0.54	0.21	0.54
$\text{SSF}_{2009}$	146230	0.19	280870	0.19
$N_{2009}$	232839	-	308940	-
$\text{SSF}_{2009}/\text{SSF}_0$	0.19	0.18	0.24	0.15
$B_{2009}/B_0$	0.21	0.17	0.20	0.16
$R_0$	159440	0.05	301920	0.06
Pup-survival	0.75	-	0.40	-
alpha	3.51	-	1.55	-
steepness	0.47	-	0.28	-

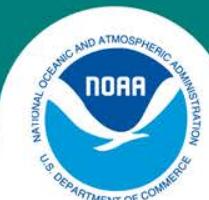


Blacknose - GOM	BASE		S3 FI	
	Estimate	CV	Estimate	CV
$\text{SSF}_{2009}/\text{SSF}_{\text{MSY}}$	<b>0.53</b>	0.46	<b>0.52</b>	0.41
$\text{F}_{2009}/\text{F}_{\text{MSY}}$	<b>1.21</b>	0.54	<b>1.22</b>	0.47
$\text{N}_{2009}/\text{N}_{\text{MSY}}$	0.61	-	0.60	-
MSY	51443	-	51362	-
$\text{SPR}_{\text{MSY}}$	0.55	0.21	0.55	0.19
$\text{F}_{\text{MSY}}$	0.232	-	0.232	-
$\text{SSF}_{\text{MSY}}$	273810	-	273200	-
$\text{N}_{\text{MSY}}$	319006	-	318479	-
$\text{F}_{2009}$	0.28	0.54	0.28	0.47
$\text{SSF}_{2009}$	146230	0.19	142770	0.20
$\text{N}_{2009}$	232839	-	229651	-
$\text{SSF}_{2009}/\text{SSF}_0$	0.19	0.18	0.19	0.18
$\text{B}_{2009}/\text{B}_0$	0.21	0.17	0.21	0.17
$\text{R}_0$	159440	0.05	159350	0.05
Pup-survival	0.75	-	0.75	-
alpha	3.51	-	3.51	-
steepness	0.47	-	0.47	-

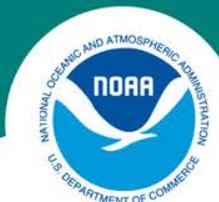




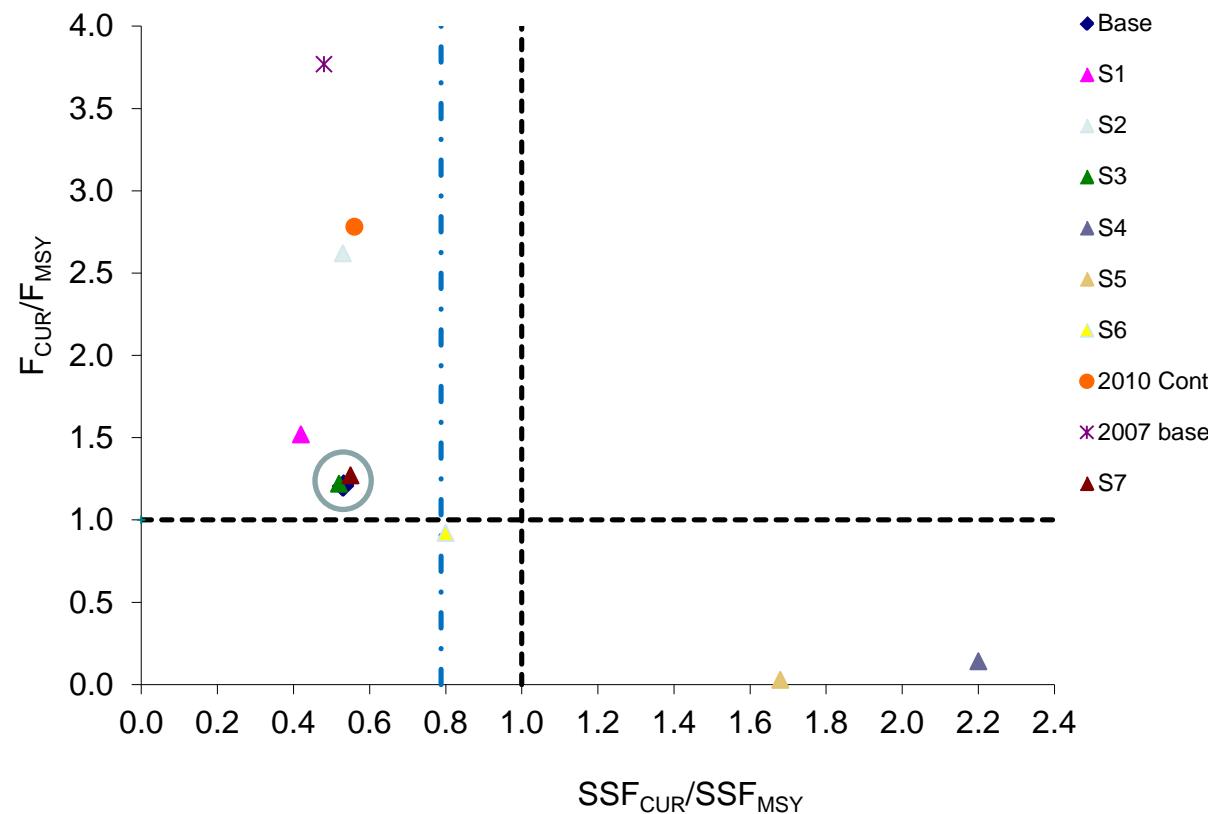
Blacknose - GOM	BASE		S6 HC	
	Estimate	CV	Estimate	CV
$\text{SSF}_{2009}/\text{SSF}_{\text{MSY}}$	<b>0.53</b>	0.46	<b>0.80</b>	0.38
$F_{2009}/F_{\text{MSY}}$	<b>1.21</b>	0.54	<b>0.92</b>	0.38
$N_{2009}/N_{\text{MSY}}$	0.61	-	0.84	-
MSY	51443	-	122971	-
$\text{SPR}_{\text{MSY}}$	0.55	0.21	0.55	0.18
$F_{\text{MSY}}$	0.232	-	0.145	-
$\text{SSF}_{\text{MSY}}$	273810	-	682630	-
$N_{\text{MSY}}$	319006	-	825117	-
$F_{2009}$	0.28	0.54	0.13	0.38
$\text{SSF}_{2009}$	146230	0.19	547590	0.16
$N_{2009}$	232839	-	815440	-
$\text{SSF}_{2009}/\text{SSF}_0$	0.19	0.18	0.29	0.13
$B_{2009}/B_0$	0.21	0.17	0.31	0.11
$R_0$	159440	0.05	398440	0.05
Pup-survival	0.75	-	0.75	-
alpha	3.51	-	3.51	-
steepness	0.47	-	0.47	-



Blacknose - GOM	BASE		S7 Hi M	
	Estimate	CV	Estimate	CV
$\text{SSF}_{2009}/\text{SSF}_{\text{MSY}}$	<b>0.53</b>	0.46	<b>0.55</b>	0.45
$\text{F}_{2009}/\text{F}_{\text{MSY}}$	<b>1.21</b>	0.54	<b>1.27</b>	0.53
$\text{N}_{2009}/\text{N}_{\text{MSY}}$	0.61	-	0.64	-
MSY	51443	-	59652	-
$\text{SPR}_{\text{MSY}}$	0.55	0.21	0.60	0.18
$\text{F}_{\text{MSY}}$	0.232	-	0.20	-
$\text{SSF}_{\text{MSY}}$	273810	-	274080	-
$\text{N}_{\text{MSY}}$	319006	-	333739	-
$\text{F}_{2009}$	0.28	0.54	0.25	0.53
$\text{SSF}_{2009}$	146230	0.19	151390	0.19
$\text{N}_{2009}$	232839	-	266508	-
$\text{SSF}_{2009}/\text{SSF}_0$	0.19	0.18	0.21	0.16
$\text{B}_{2009}/\text{B}_0$	0.21	0.17	0.22	0.17
$\text{R}_0$	159440	0.05	185040	0.05
Pup-survival	0.75	-	0.75	-
alpha	3.51	-	2.88	-
steepness	0.47	-	0.42	-



## Phase plots including sensitivities





## Moving on to SA stock



## Outline - SA

1. Data Inputs
  - a. Fisheries
  - b. Indices
  - c. Life History
2. Base Model and Results
  - a. Rebuilding Analysis
3. Sensitivity Cases
4. Summary of all Results



## Fishery Inputs - SA

### Catch Series:

#### —Commercial

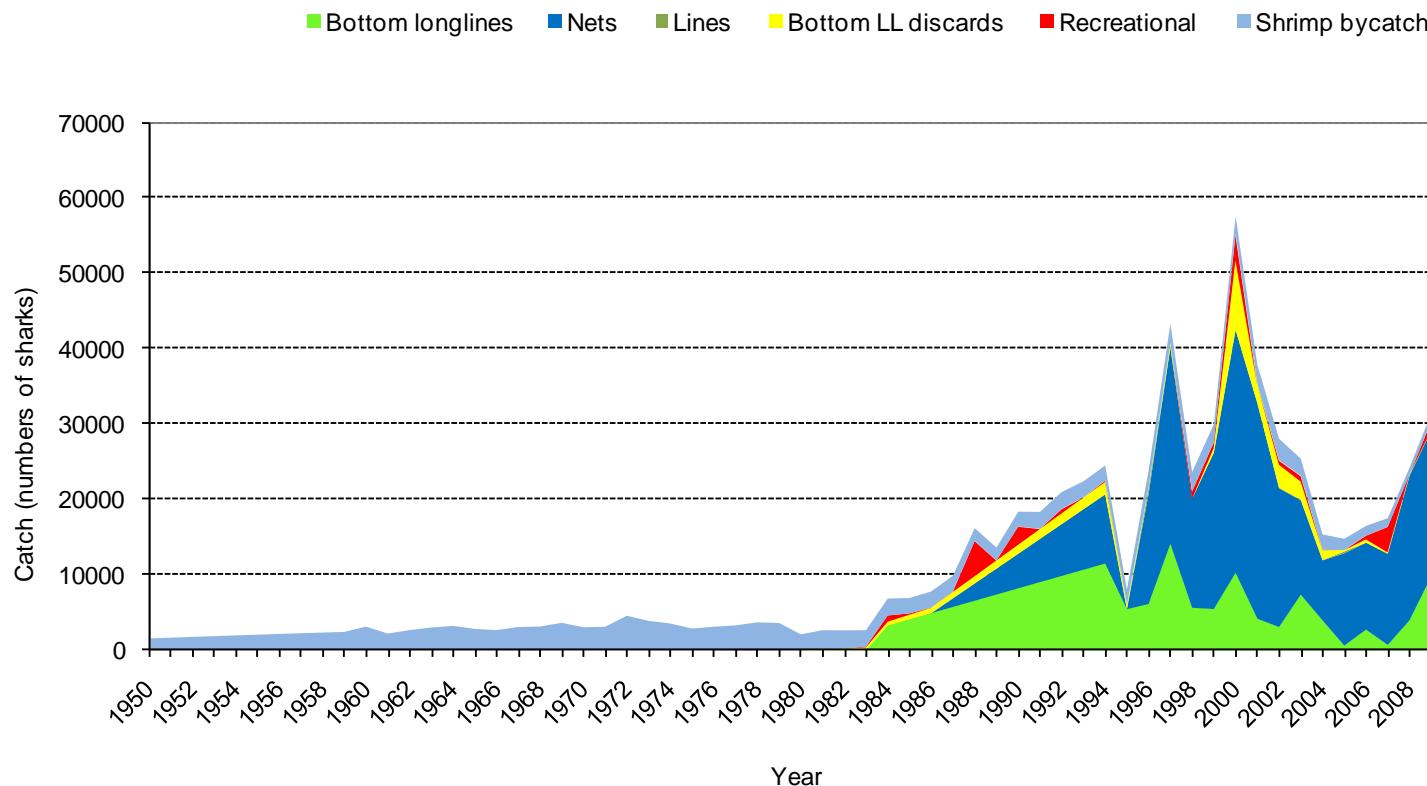
- Longline
- Gillnet
- Handline
- BLL-discards

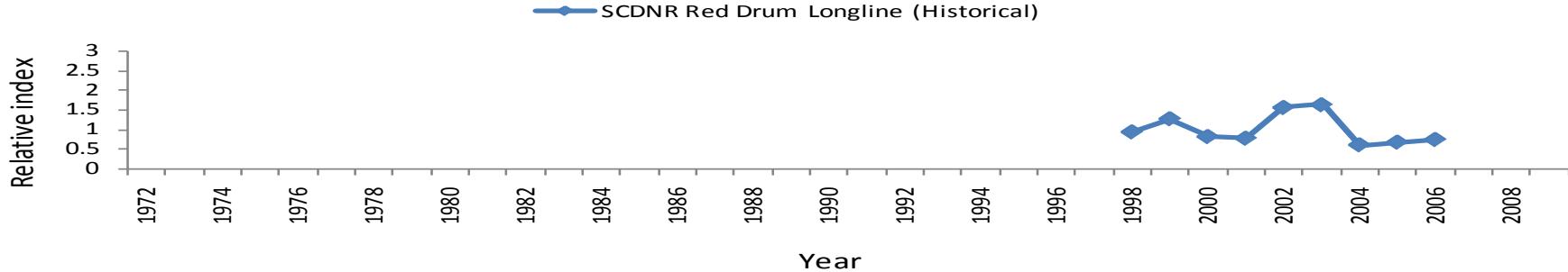
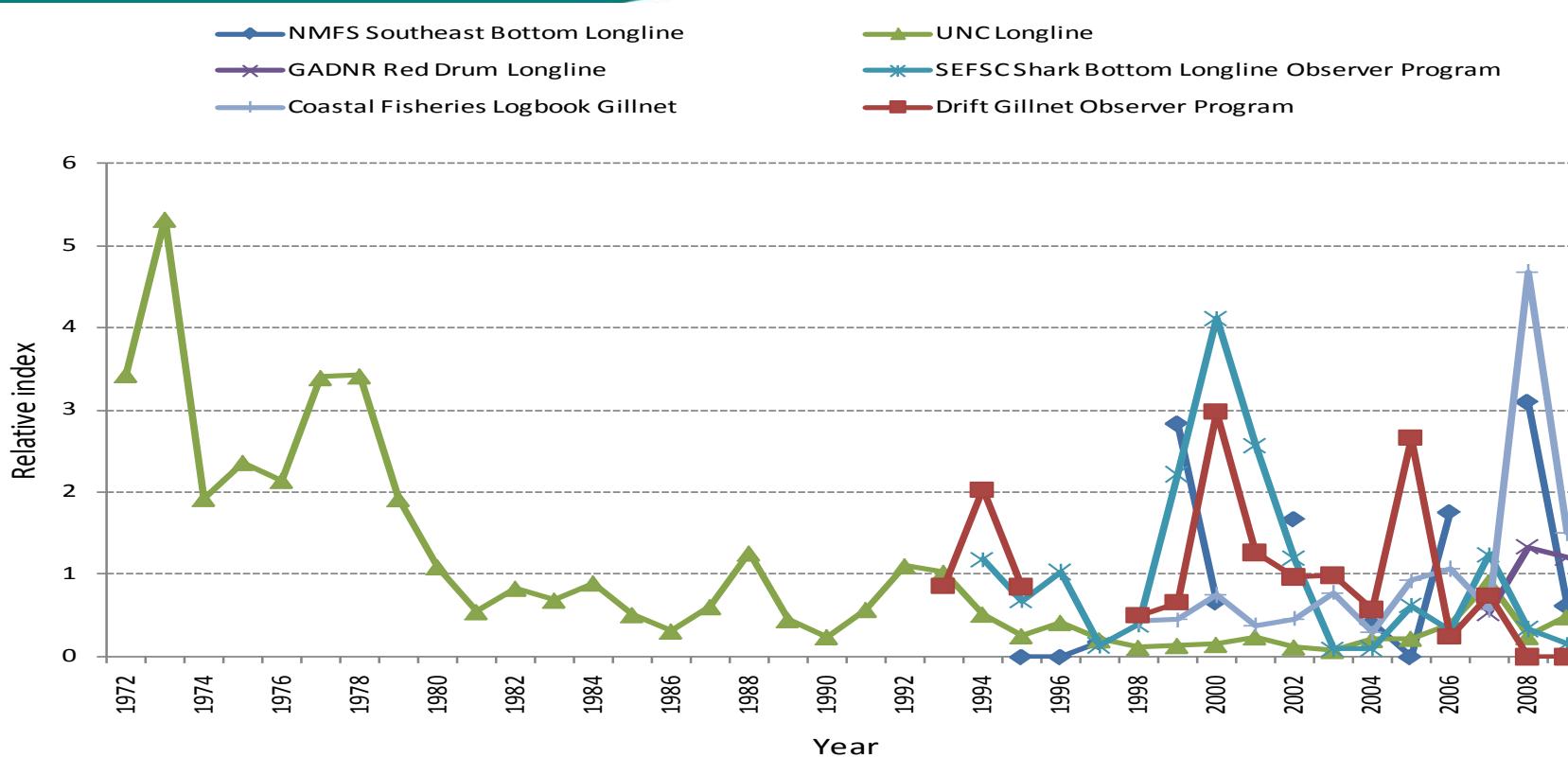
#### —Recreational

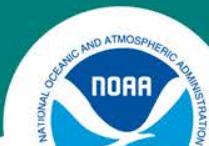
#### —Shrimp Bycatch



## Catches - SA

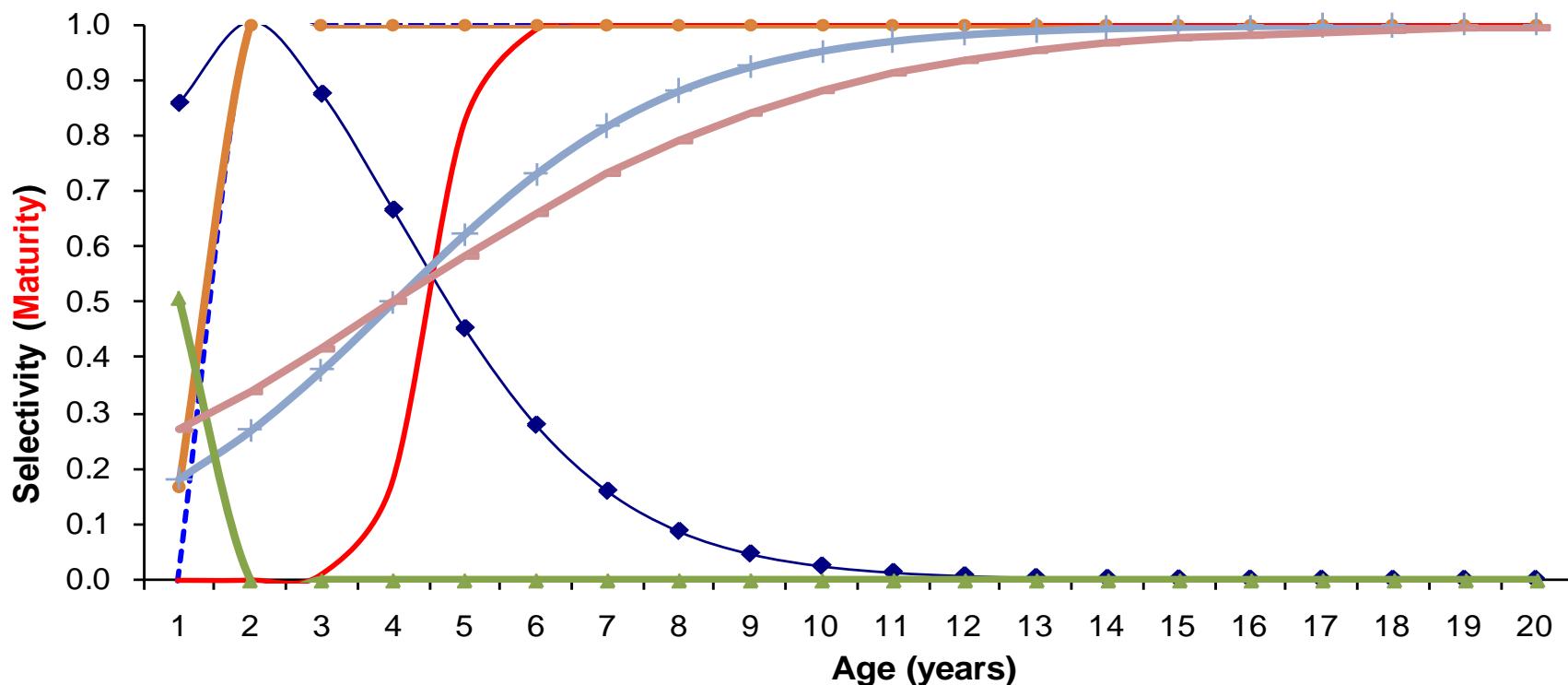






## Selectivities and maturity - SA

- ◆— Com-GN, CFL-GN
- BLLOP, Com-BLL, BLL-Disc
- Maturity
- NMFS SE LL, SCDNR Hist Red dr, GADNR Red dr, Com-L, Rec
- +— DGNOP
- ▲— Shrimp bycatch
- UNC LL





## Life History Inputs (SA)

$L_\infty$	104.3 (cm FL)
$k$	0.3
$t_0$	-1.71
a	1.65E-6
b	3.34
Pup Survival	0.81
Virgin Recruitment ( $R_0$ )	[1.0E+4, 1.0E+10]
fecundity	5*(interesting story)



## Assessment Workshop issues remaining

### Shrimp bycatch series –

- Treated as one catch series rather than two
  - They should have the same effort but different catchabilities and selectivities
    - No length data to empirically determine selectivities
    - Model fits them very poorly as scaled versions of the other (SA is just scaled down from the poor fit to the GOM)
- Projections
  - Levels of variance
  - Which scenarios to use as bookends to the base case?



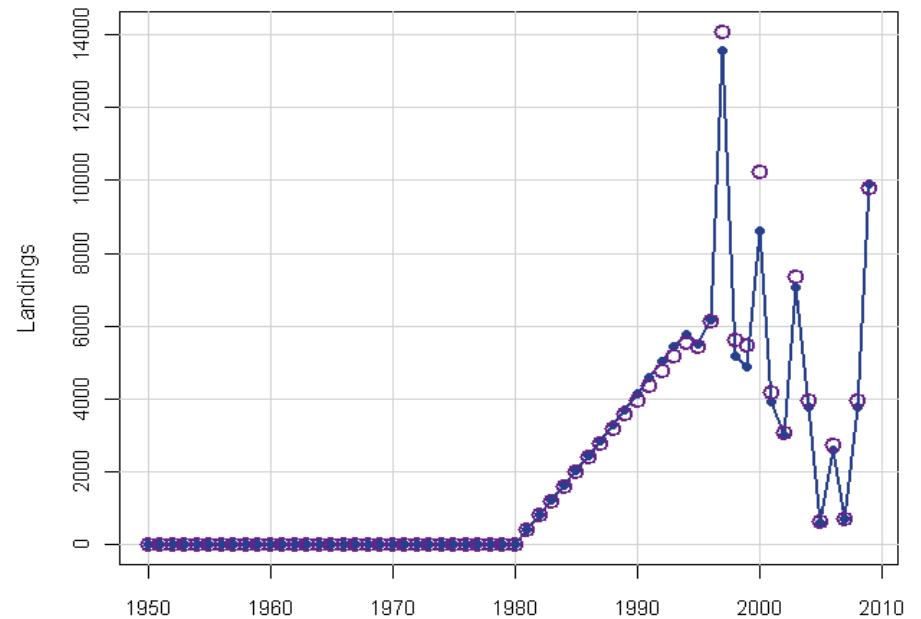
## Base case settings

- Virgin conditions in 1950.
- Modern period begins in 1972.
- Catches are fit twice as well when the data are not back-calculated.
- UNC series downweighted by 10 due to initial fitting problems (AW suggestion and guidance).

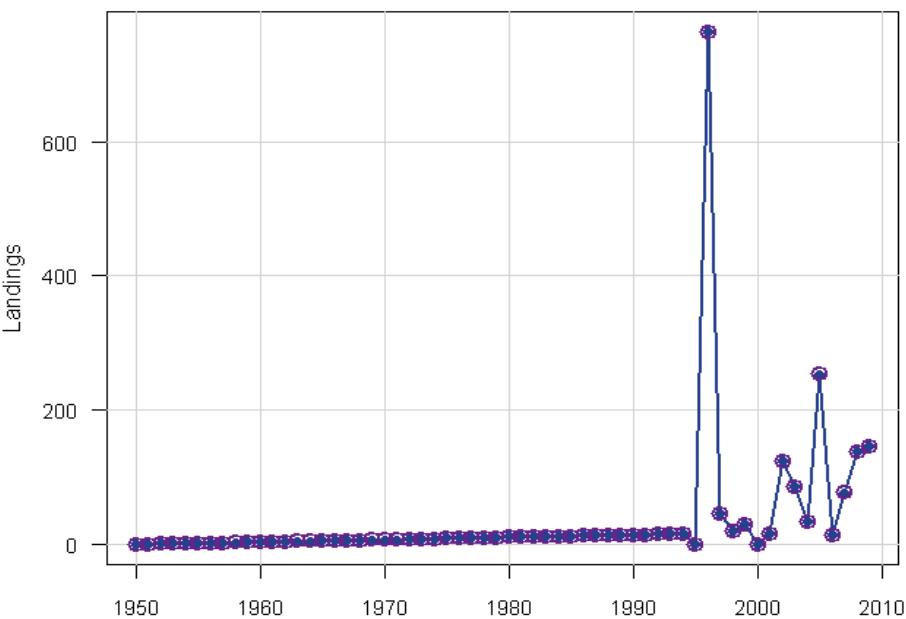


Blacknose - SA	BASE	
	Estimate	CV
$\text{SSF}_{2009}/\text{SSF}_{\text{MSY}}$	<b>0.60</b>	0.096
$\text{F}_{2009}/\text{F}_{\text{MSY}}$	<b>5.02</b>	1.60
$\text{N}_{2009}/\text{N}_{\text{MSY}}$	0.379	-
MSY	24495	-
$\text{SPR}_{\text{MSY}}$	1.87	0.05
$\text{F}_{\text{MSY}}$	0.075	-
$\text{SSF}_{\text{MSY}}$	96809	-
$\text{N}_{\text{MSY}}$	153709	-
$\text{F}_{2009}$	0.376	0.12
$\text{SSF}_{2009}$	58409	0.19
$\text{N}_{2009}$	152057	-
$\text{SSF}_{2009}/\text{SSF}_0$	0.27	0.04
$\text{B}_{2009}/\text{B}_0$	0.14	0.04
$\text{R}_0$	85148	0.06
Pup-survival	0.81	-
alpha	2.26	-
steepness	0.36	-

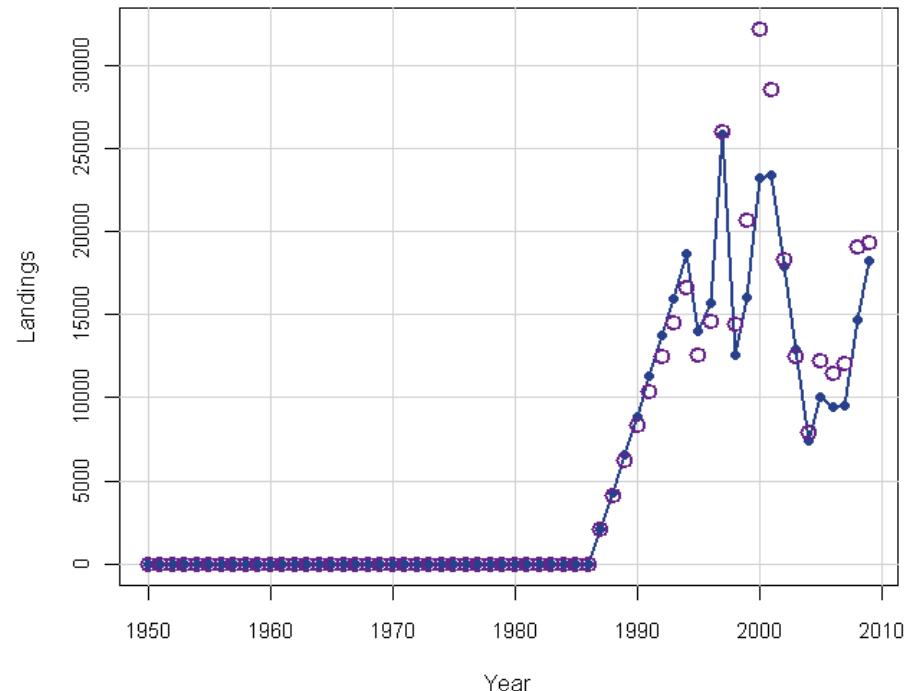
Fishery: L.Comm.BLL Data: shark



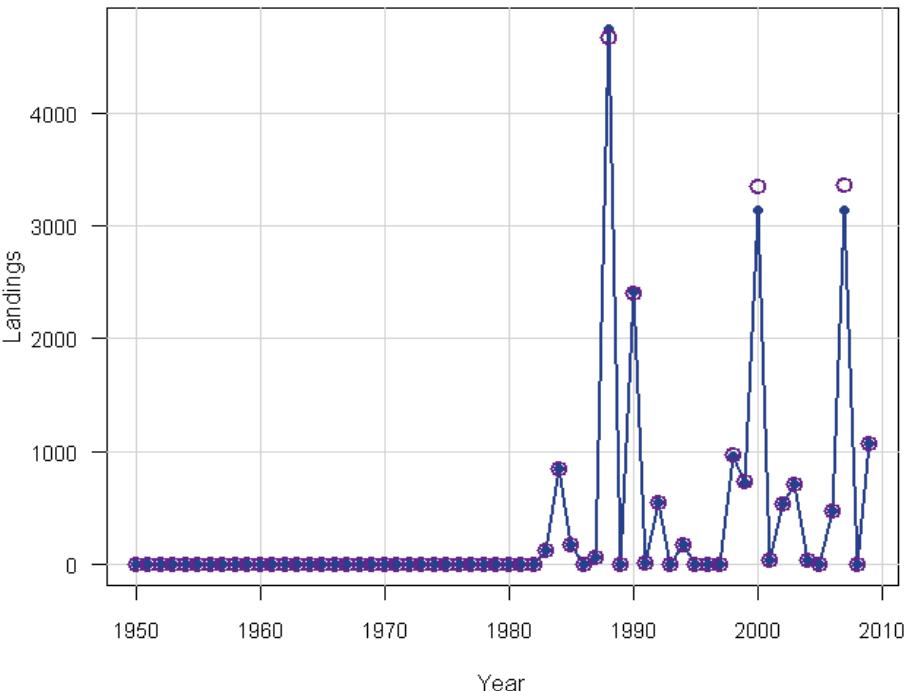
Fishery: L.Com.HandL Data: shark



Fishery: L.Comm.GN Data: shark



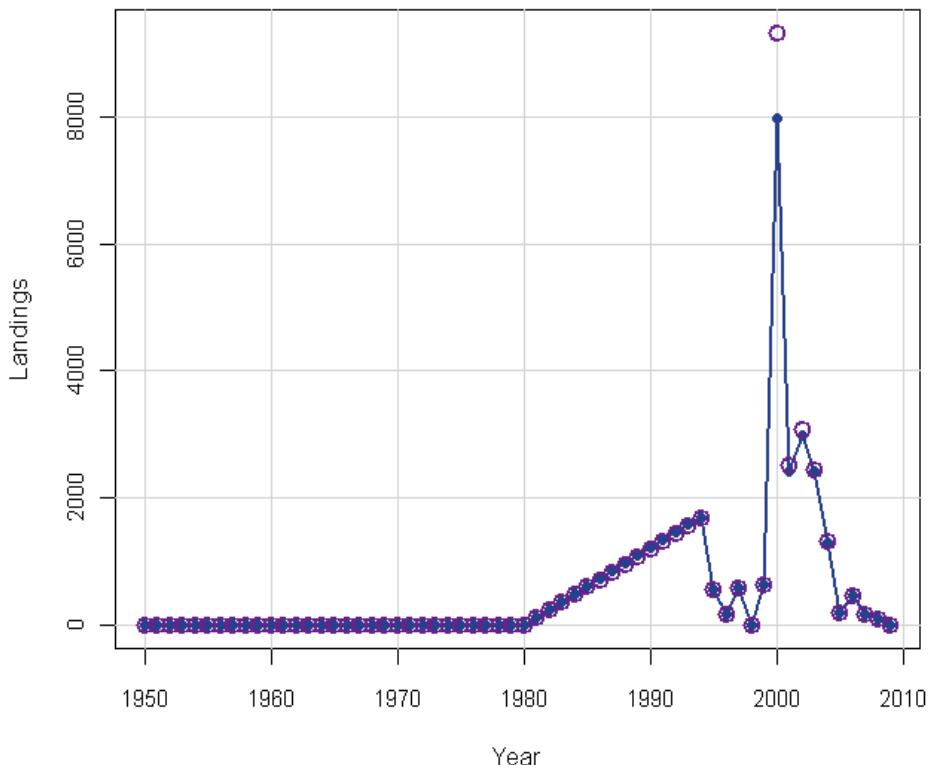
Fishery: L.Rec Data: shark



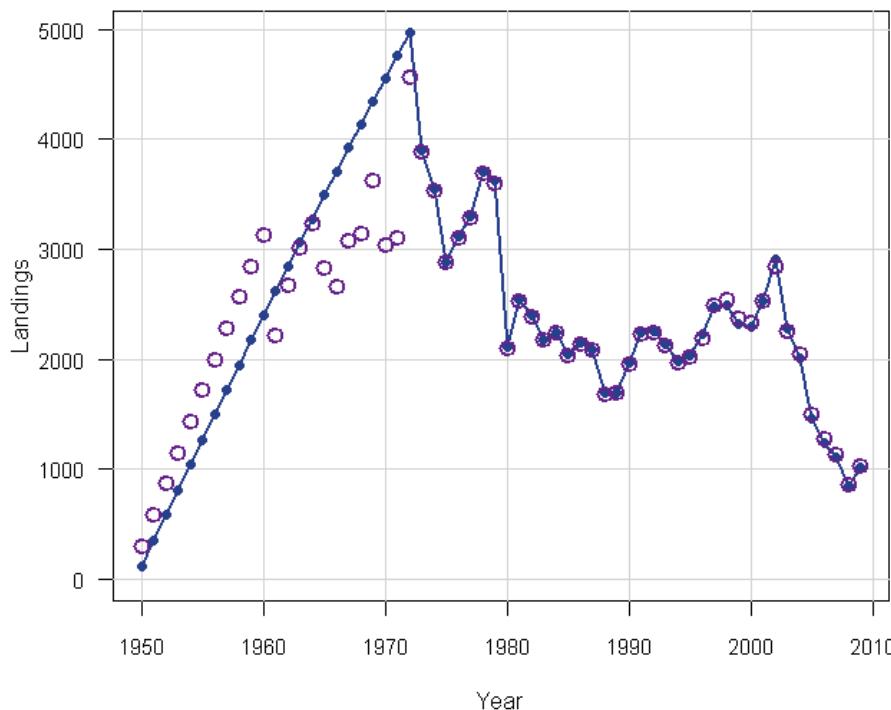
# NOAA FISHERIES SERVICE



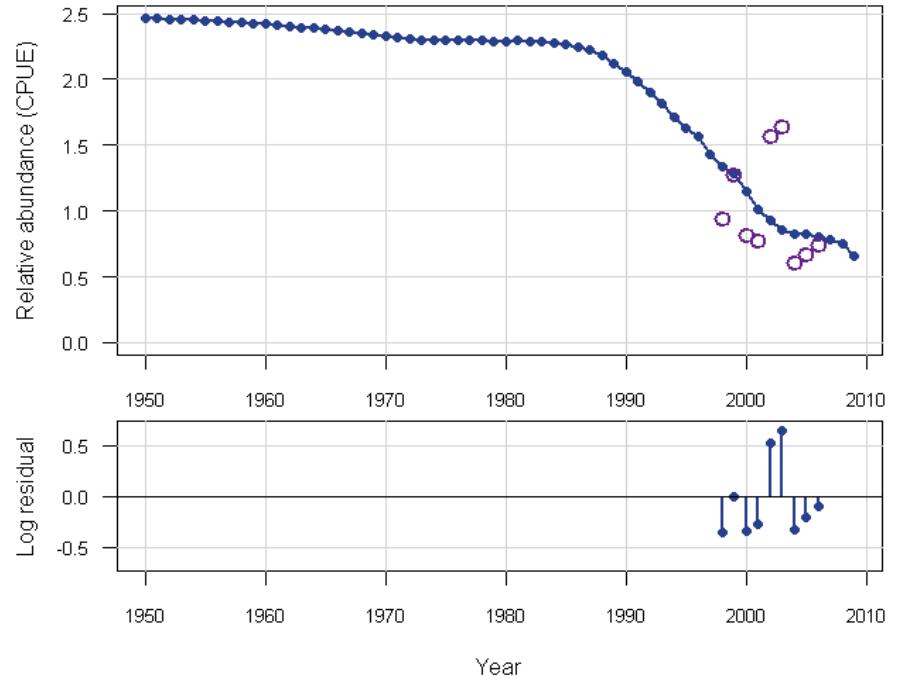
Fishery: L.BLL.disc Data: shark



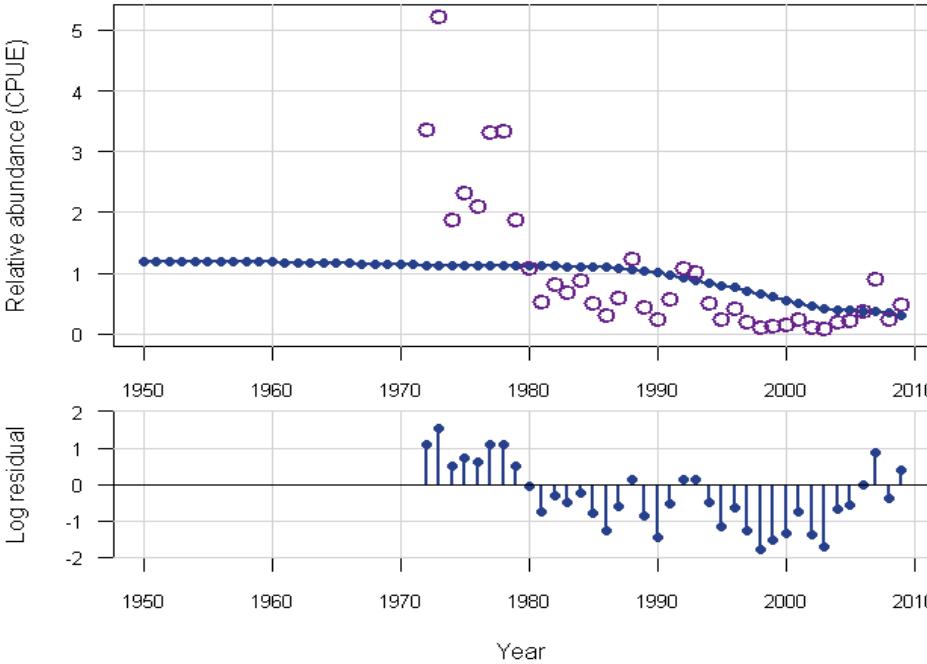
Fishery: L.Shrimp Data: shark



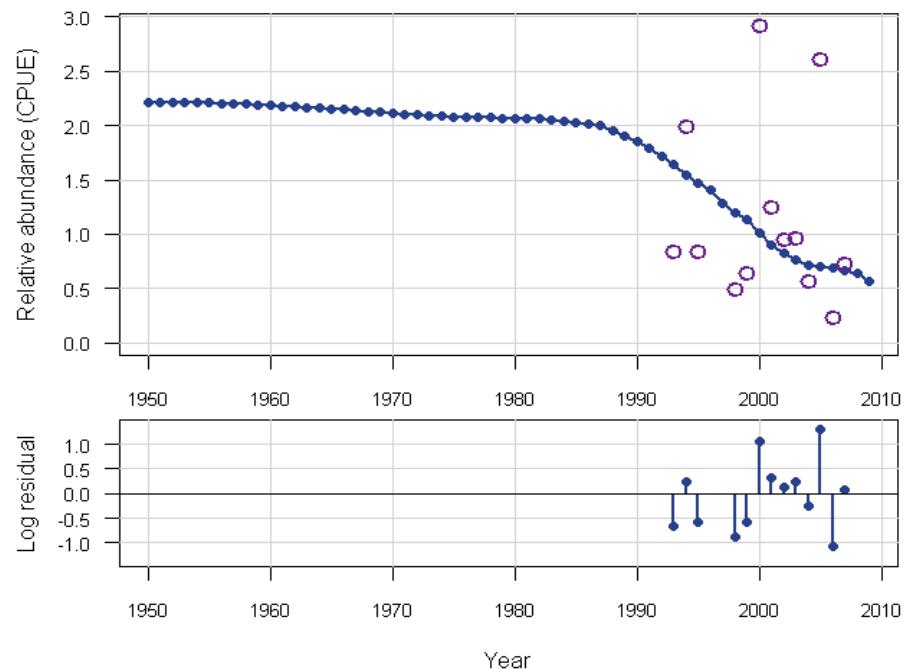
Index: SCDNR Data: shark



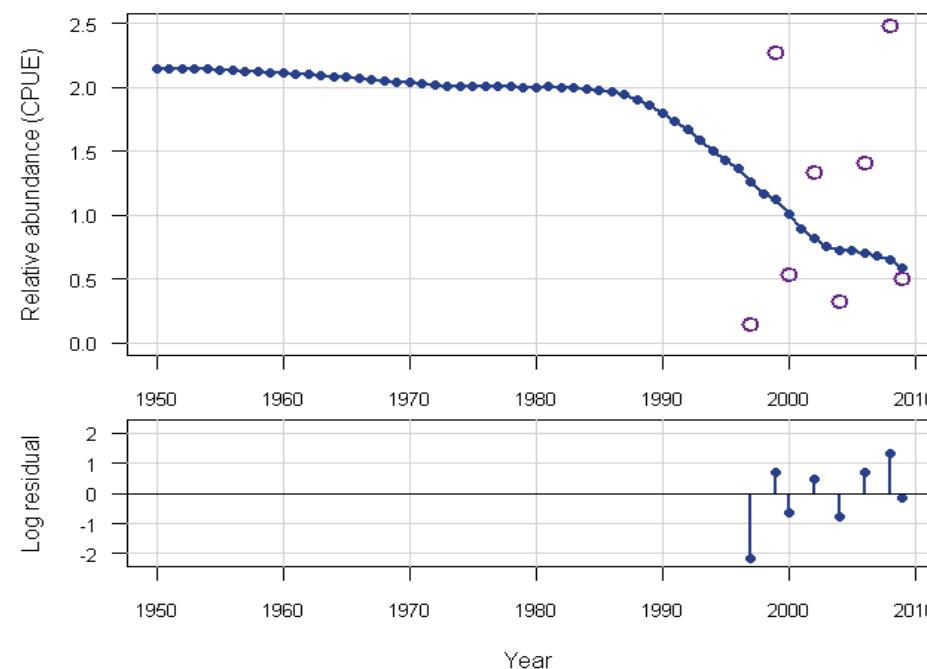
Index: UNC Data: shark



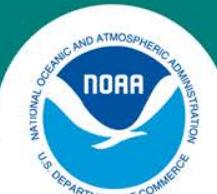
Index: DGNOP Data: shark



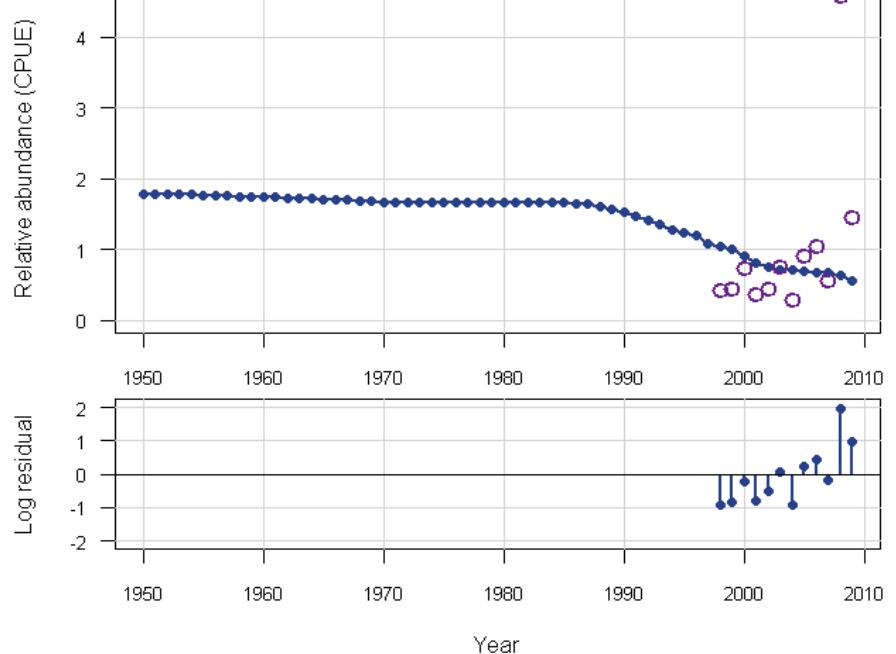
Index: NMFS Data: shark



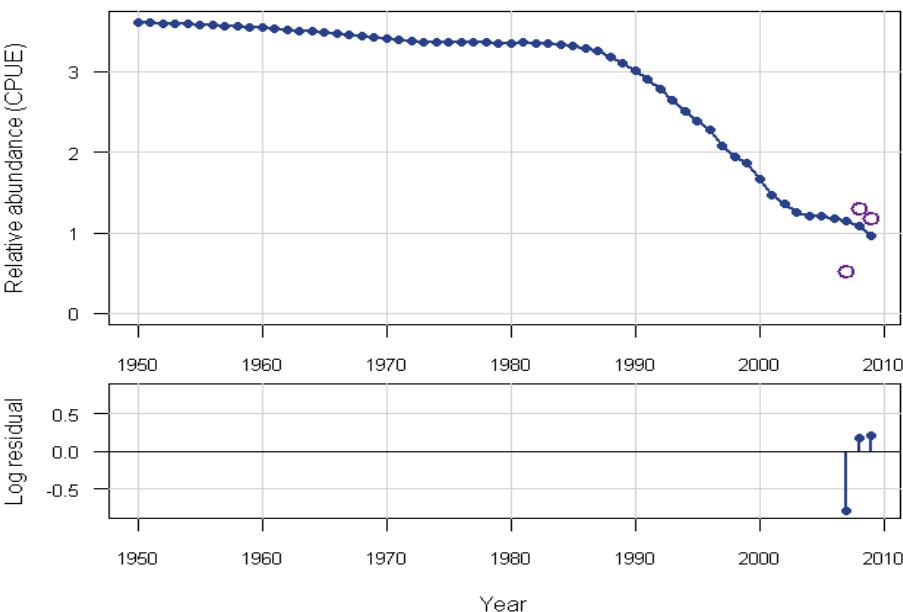
# NOAA FISHERIES SERVICE



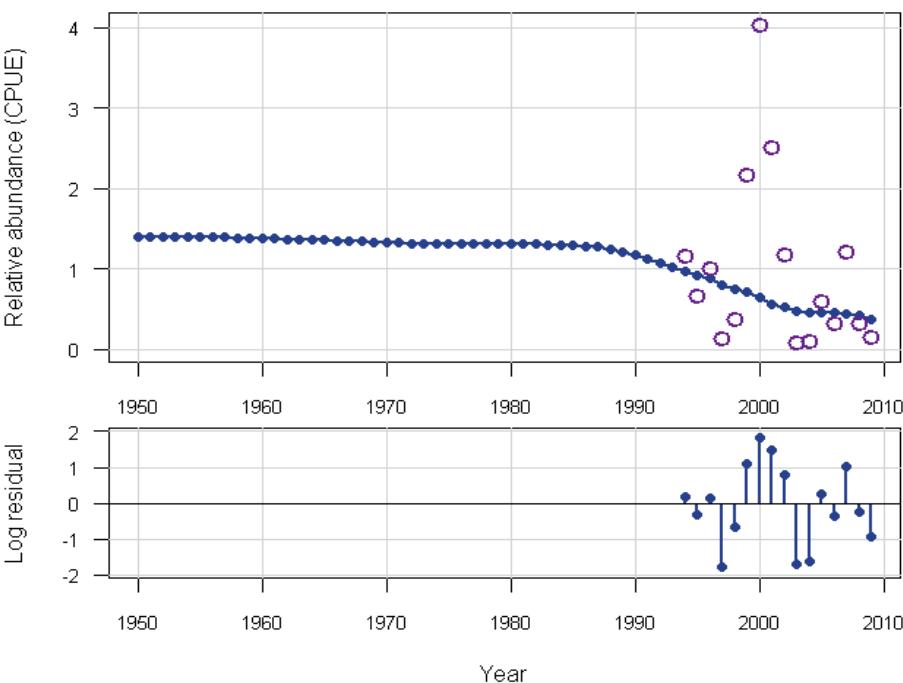
Index: GNlogs Data: shark

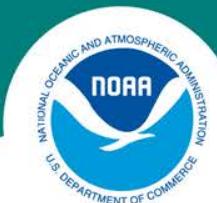


Index: GADNR Data: shark

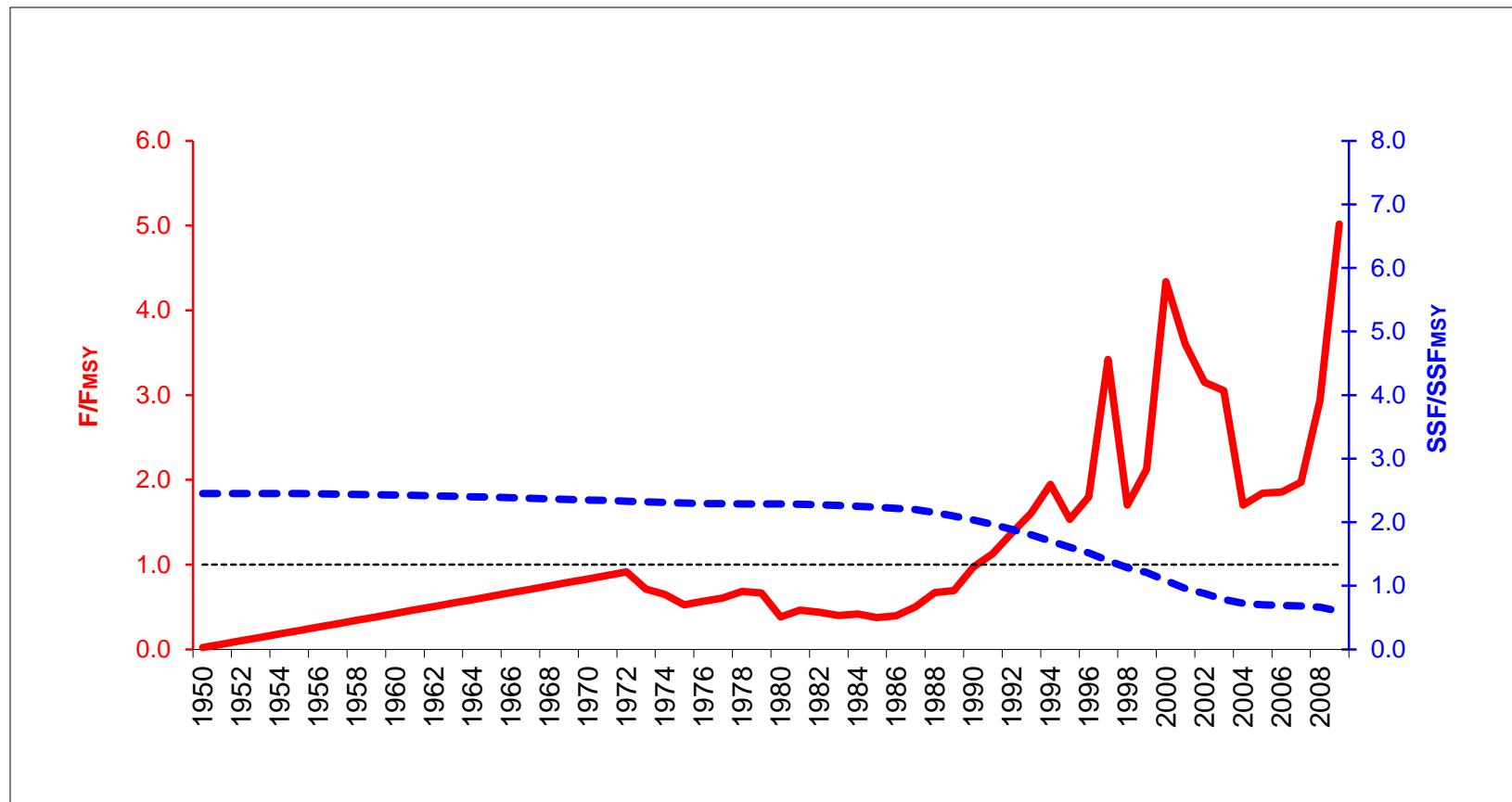


Index: BLLOP Data: shark



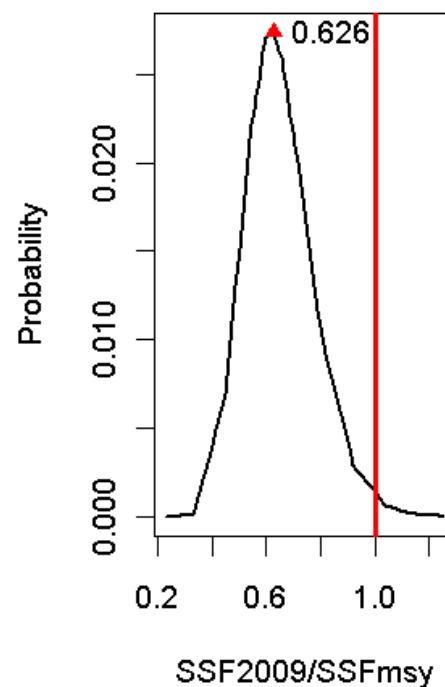
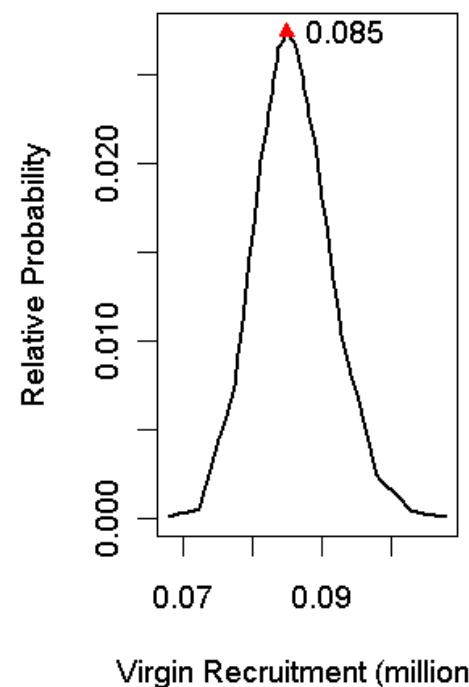
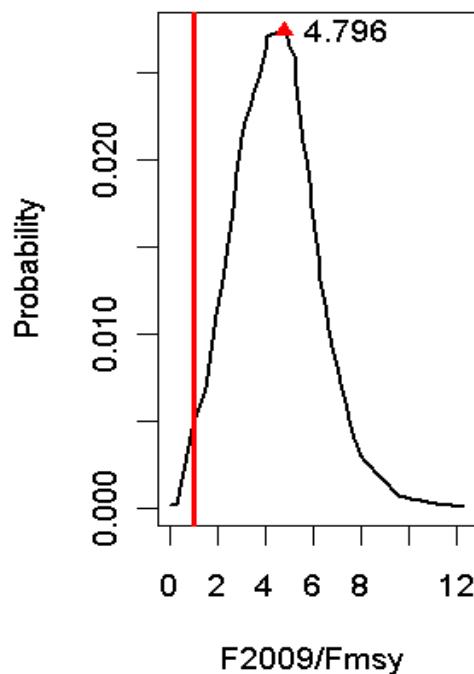


## Base model benchmarks





## Likelihood Profiles





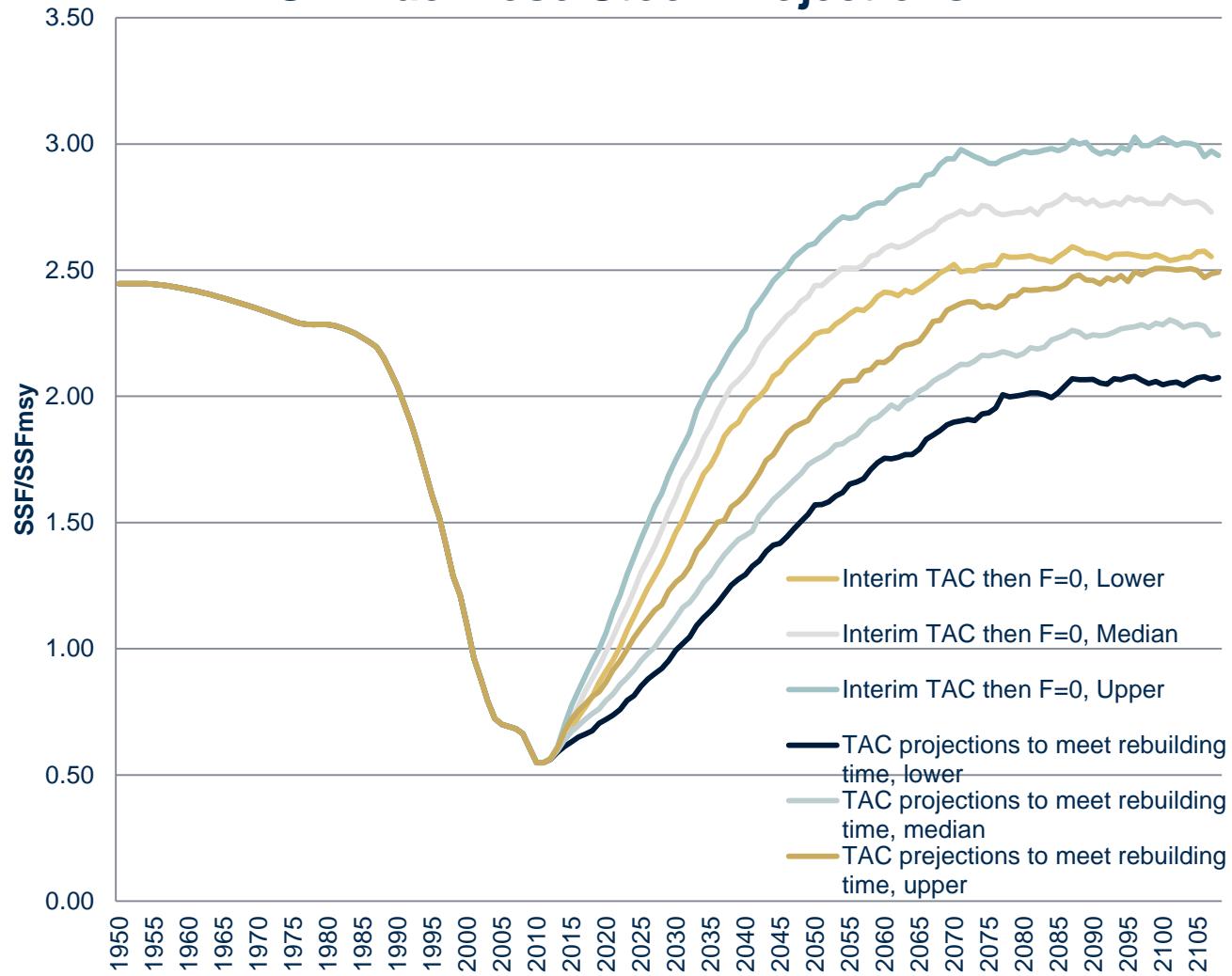
## Projection scenarios

- 19,200 TAC was for a combined stock.
  - We separated the TAC based on the catches in the last three years of the catch series. (51% GOM and 49% SA)
  - Applied the separated TAC in 2010, 2011, and 2012.
- No fishing mortality with interim TAC.
  - If longer than 10 years to recovery, add the 9 year generation time to find rebuilding time.
- Find TAC that allows stock to recover by rebuilding year.



(1)  $F=0$  by 2022  
(2) Rebuilt with  
70% probability  
in 2031 with a  
TAC of 21,500

## SA Blacknose Stock Projections



## SA Sensitivities

- S1 – ranked indices (did not converge)
- S2 – U-shaped natural mortality
- S3 – FI indices only (did not converge)
- S4 – hierarchical index (did not converge)
- S5 – low catch
- S6 – high catch
- S7 – all indices
- S8 – higher natural mortality

## Phase plot with sensitivities

